

# Some differences between extratropical and equatorial eddy-driven jets...

... or how to spinup a jet without a vorticity source



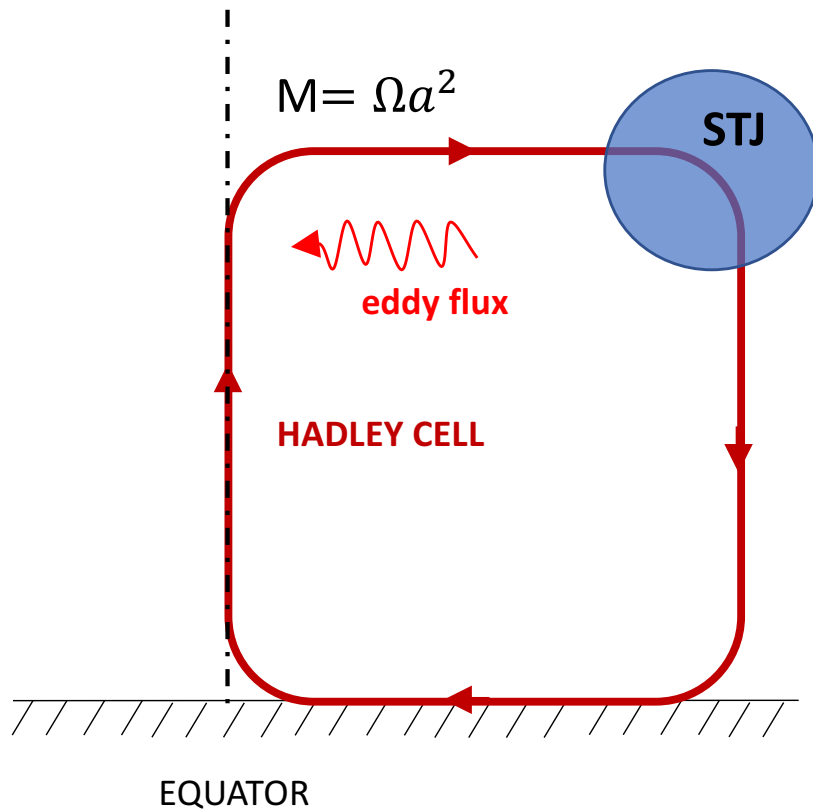
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Physics at the equator: from the lab to the stars. ENS Lyon, October 17th

# SUPERROTATION

- Faster rotation than the equatorial surface
- Angular momentum exceeds  $\Omega a^2$  somewhere
- Equatorial westerlies

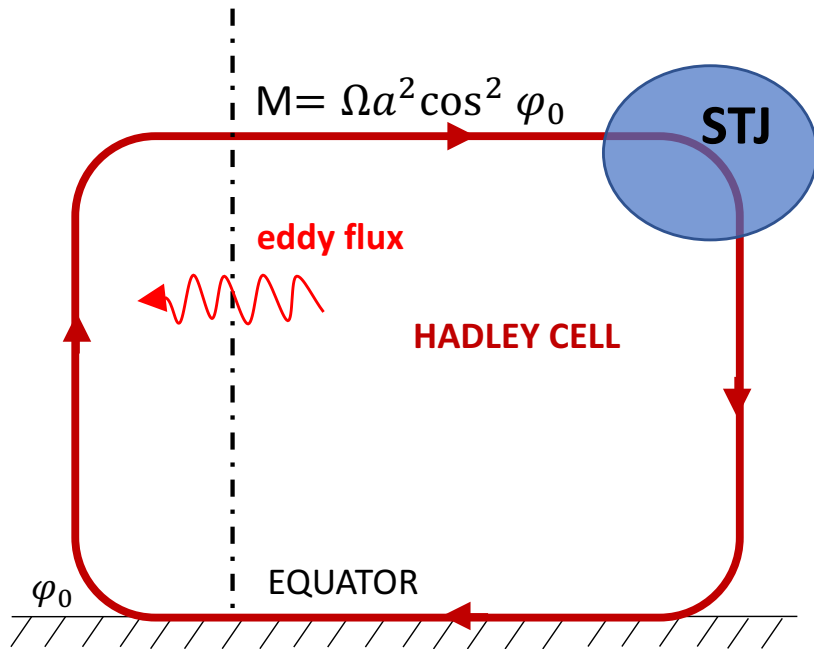


## Hide's theorem

Without friction, a *symmetric* circulation conserves angular momentum  $M = \Omega a^2$  (with friction, it can only lose it)

Superrotation requires *eddy momentum forcing*

Superrotating jets **must** be eddy-driven



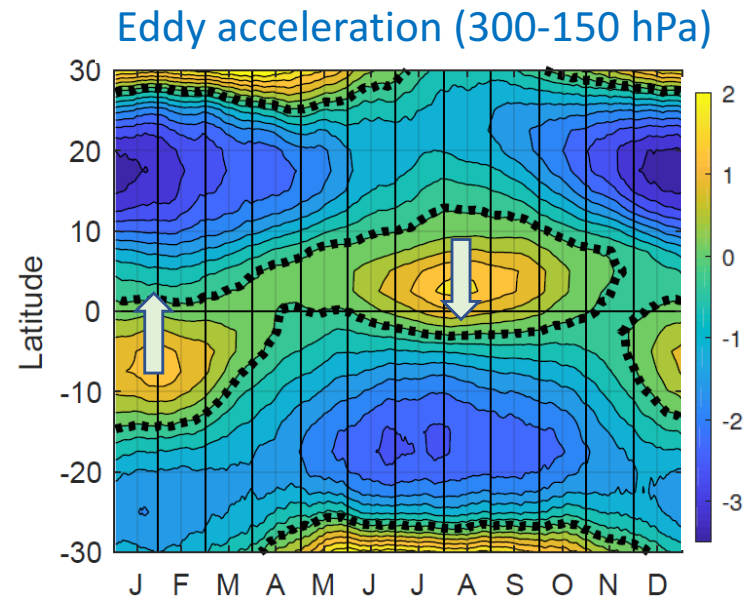
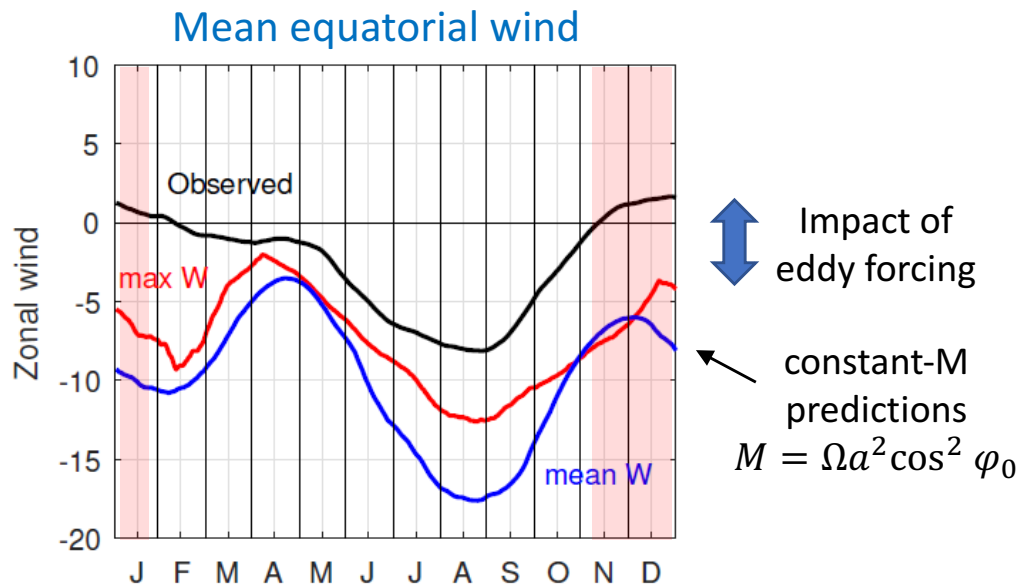
## Why Earth does not superrotate?

With non-zero obliquity, a *symmetric* circulation would conserve the ITCZ angular momentum:  $M = \Omega a^2 \cos^2 \varphi_0$

Westerly eddy forcing increases  $M$  on Earth, but not enough

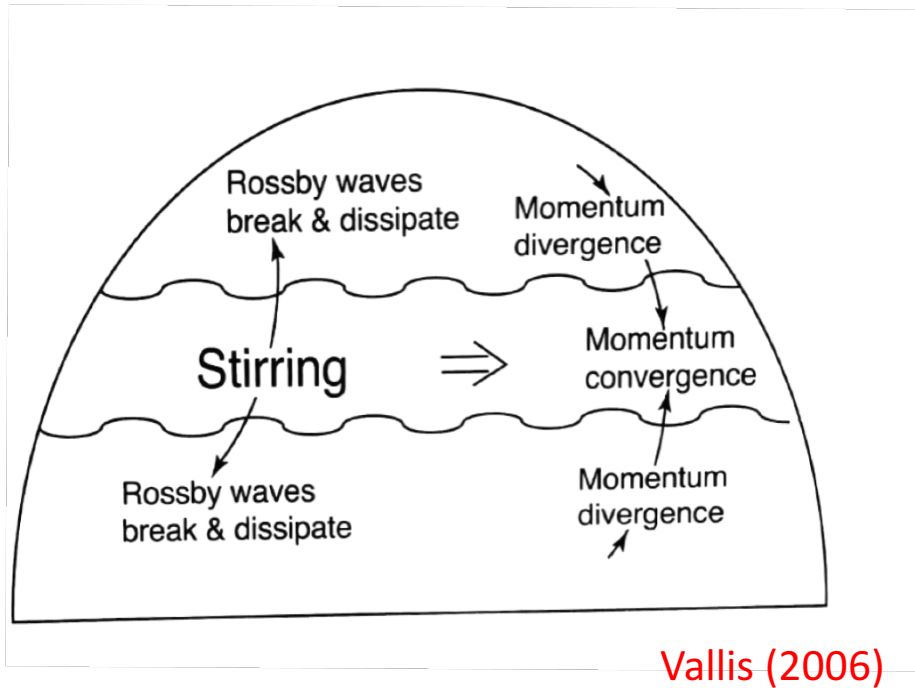
$$\Omega a^2 \cos^2 \varphi_0 < M \lesssim \Omega a^2$$

**Weak superrotation** on Earth (faster rotation than the surface at the ITCZ)



Superrotating jets **must** be eddy-driven

Large body of theory on eddy-driven jets (e.g., the terrestrial extratropical jet)



$$\left. \frac{\partial \bar{u}}{\partial t} \right|_{eddy} \approx - \frac{\partial \overline{u'v'}}{\partial y} \approx \overline{v'\xi'} > 0$$

- Acceleration by **upgradient** vorticity fluxes (need a vorticity source)
- Mechanism: meridional Rossby wave propagation
- Dissipation (Andrews & McIntyre): breaking vorticity waves (mechanical)

Classical paradigm for superrotation based on these ideas (Held 1999).

Vorticity source due to eddy heating:

- SST structure

- day/night contrast

- MJO

Main problem with this idea (Showman and Polvani 2011):

Acceleration by *upgradient* vorticity fluxes (need a vorticity source)

VORTICITY SOURCE IS WEAK  
IN THE DEEP TROPICS

$$\mathcal{F}_\xi = -(f + \xi)Q'$$

Can superrotation occur without a vorticity source? What is the dynamics?

1. *An intriguing limit: small/slowly rotating planets*

Non-small thermal Ro, wide-tropics

$\xi_a = \xi + f$  small over broad tropical region  $\Rightarrow$  expect  $\overline{v'\xi'} \rightarrow 0$

Prone to superrotation (Kelvin-Rossby instability)

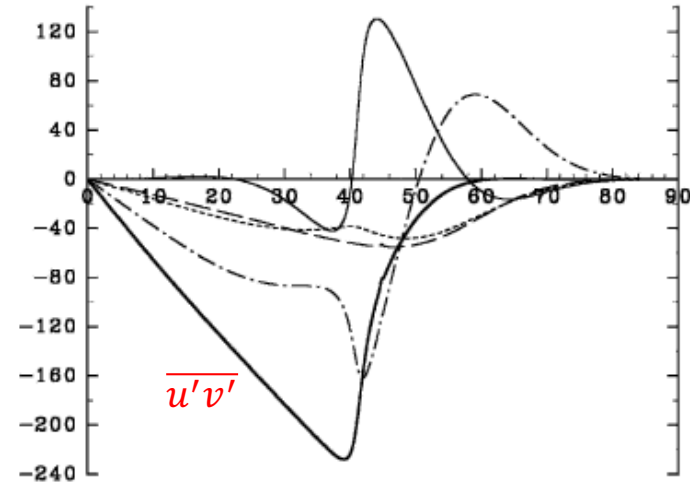
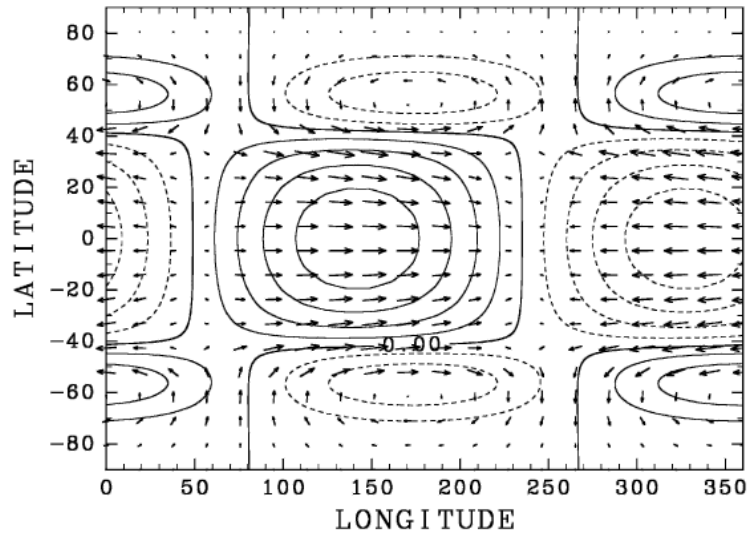
2. *Rapidly rotating planets* (Earth)

$\xi_a$  small near equator only  $\rightarrow \overline{v'\xi'}$  may not be small

But with weak vorticity source, expect downgradient  $\overline{v'\xi'} < 0$

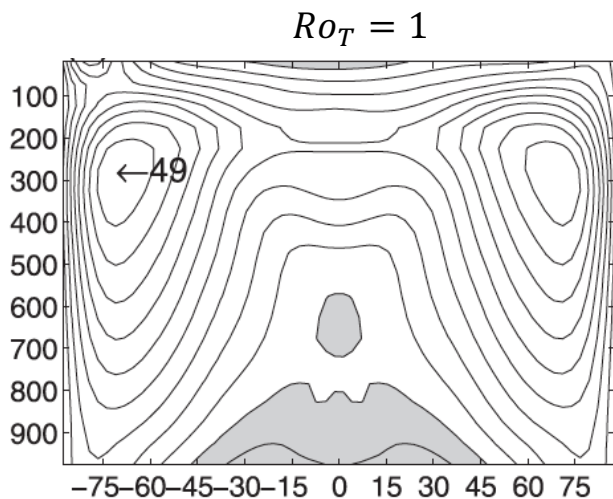
# Kelvin-Rossby instability and small-planet superrotation

- First suggested by Iga and Matsuda (2005) as a possible driver for Venus superrotation



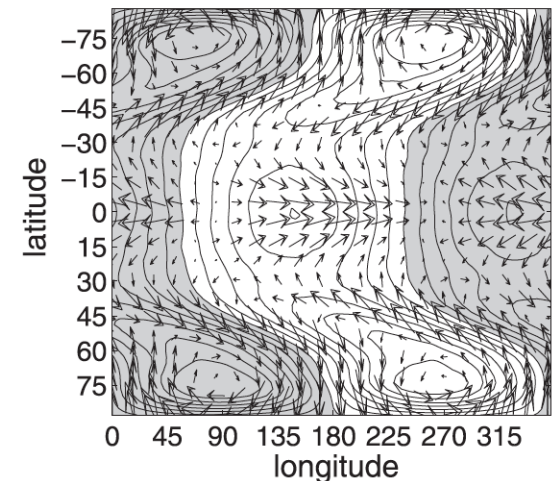
Iga and Matsuda (2005)

- Mitchell and Vallis (2010) and Potter et al (2014) find spontaneous transition to superrotation at **large thermal Rossby number** in idealized dry GCM



Acceleration imparted by mode with K-R structure

Potter et al (2014)



# Equilibration of Kelvin-Rossby instability: shallow water

Barotropic but divergent

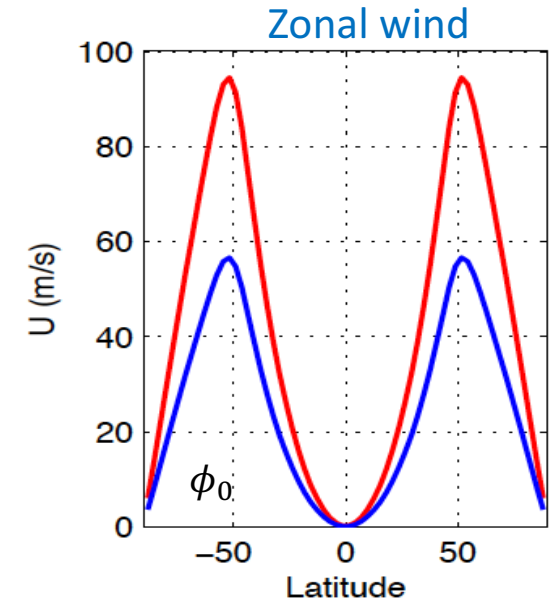
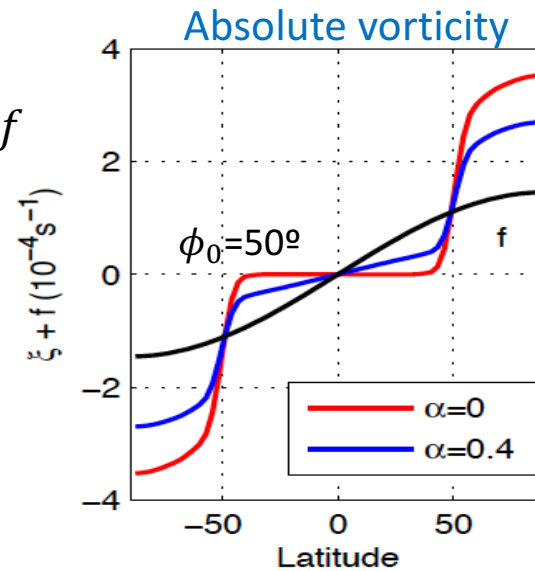
Small absolute vorticity  $\xi_a = \xi + f \ll f$

Phase-locking requires  $Fr \sim O(1)$

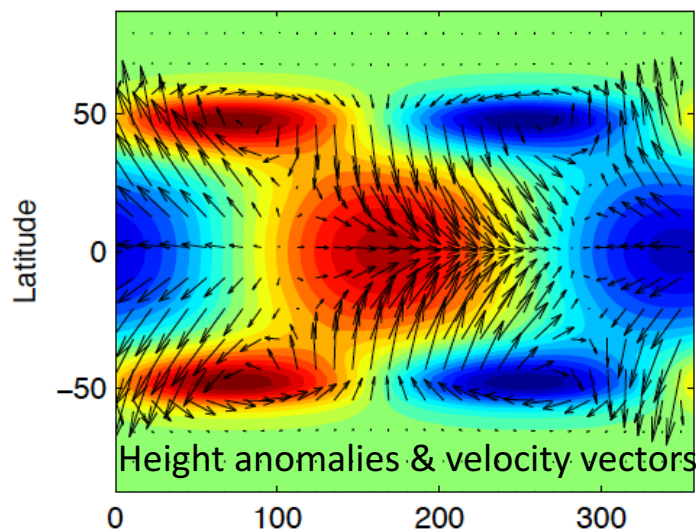
$$c_K \sim \sqrt{gh} \sim c_R \sim U_{jet} \quad gh = 1000 \text{ m}^2 \text{ s}^{-2}$$

$$\Omega = \Omega_{EARTH} \quad R = \frac{1}{4} R_{EARTH}$$

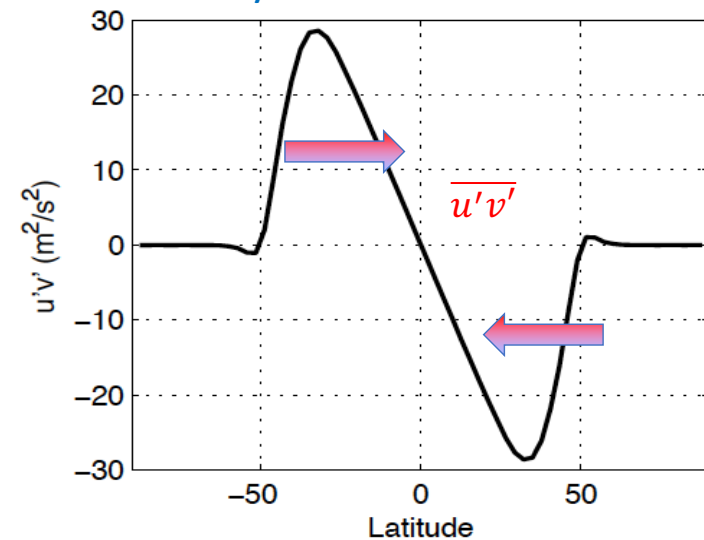
Zurita-Gotor & Held (2018)



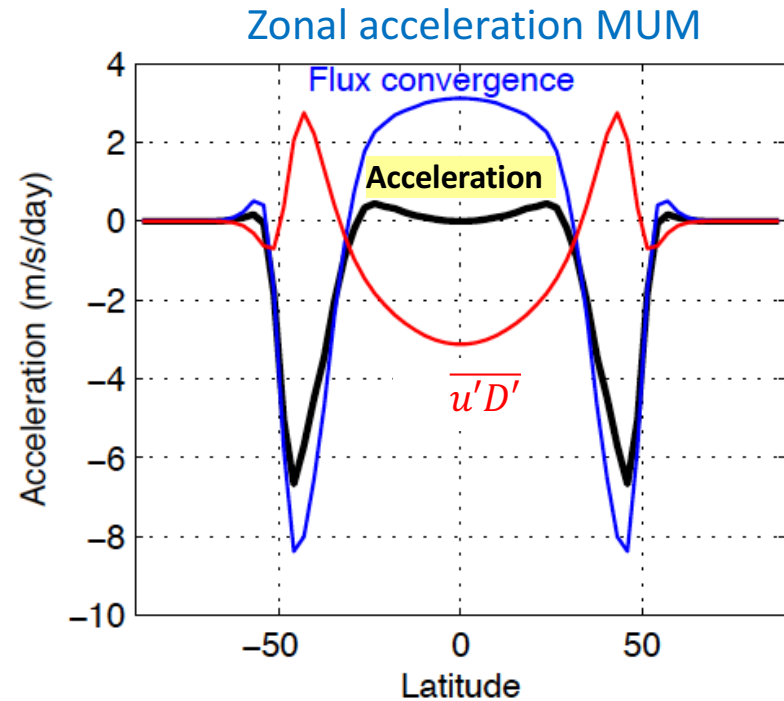
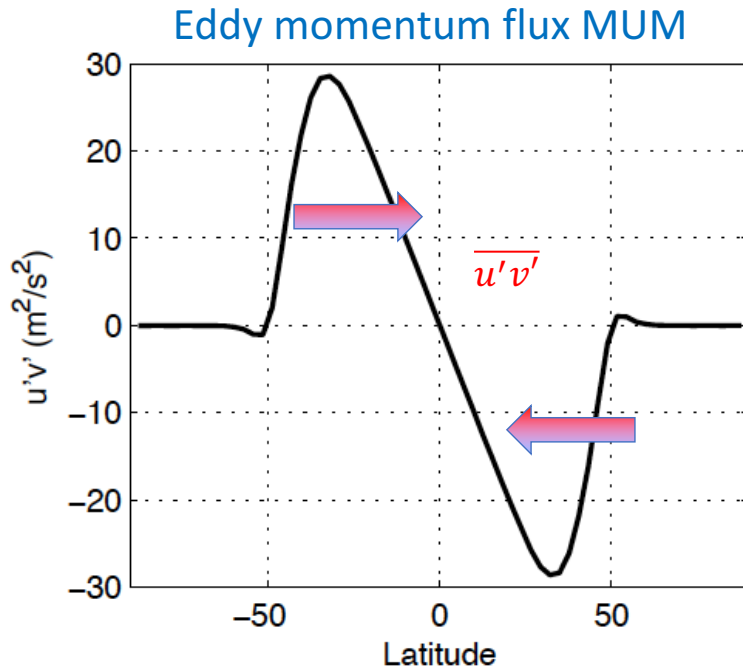
Most Unstable Mode ( $\alpha=0$ )



Eddy momentum flux MUM



Mode produces equatorward momentum flux but no Eulerian-mean acceleration!!!



Vector-invariant momentum equation:

$$\frac{\partial \bar{u}}{\partial t} = \overline{v'\xi'} = -\frac{\partial \overline{u'v'}}{\partial y} + \overline{u'D'} \approx 0$$

$$D' = \frac{\partial u'}{\partial x} + \frac{\partial v'}{\partial y}$$

Expect small vorticity fluxes because of the small vorticity gradient for this problem

This requires cancellation between the eddy momentum convergence and the  $\overline{u'D'}$  term



Mysterious perfect cancellation  $\overline{v'\xi'} = -\frac{\partial \overline{u'v'}}{\partial y} + \overline{u'D'} \approx 0$

Better perspective: two distinct contributions to meridional eddy momentum convergence:

$$-\frac{\partial \overline{u'v'}}{\partial y} = \overline{v'\xi'} - \overline{u'D'}$$

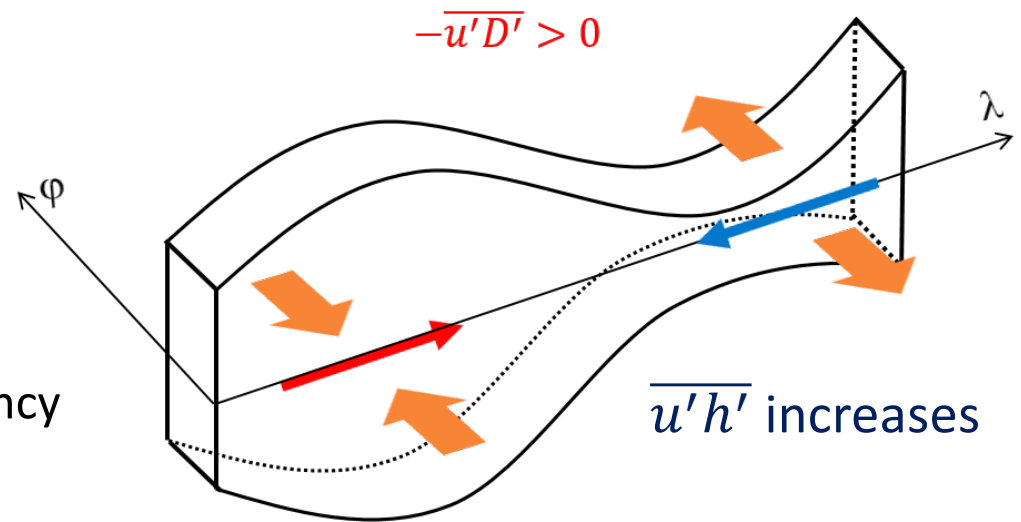
$\overline{v'\xi'}$  dominates in the extratropics but is very small near the equator, where  $\overline{u'D'}$  dominates

Where is the momentum flux going?  $\frac{\partial \overline{u^*}}{\partial t} = -\frac{\partial \overline{u'v'}}{\partial y} = \overline{v'\xi'} - \overline{u'D'}$

The momentum convergence does not force just  $\bar{u}$  but the **full mass-weighted momentum**

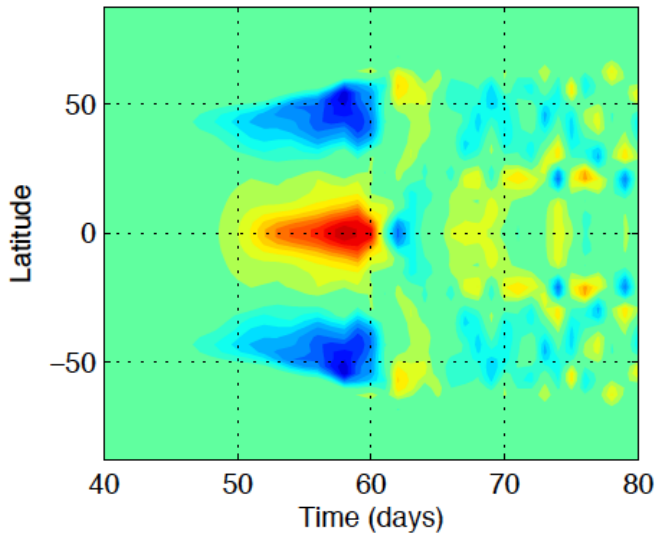
$$\overline{u^*} = \frac{\overline{hu}}{\bar{h}} = \bar{u} + \overline{u'h'} / \bar{h}$$

- Showed that  $\bar{u}$  is only forced by  $\overline{v'\xi'}$
- The  $\overline{u'D'}$  term must then force  $\overline{u'h'}$
- This term captures the full **linear** tendency

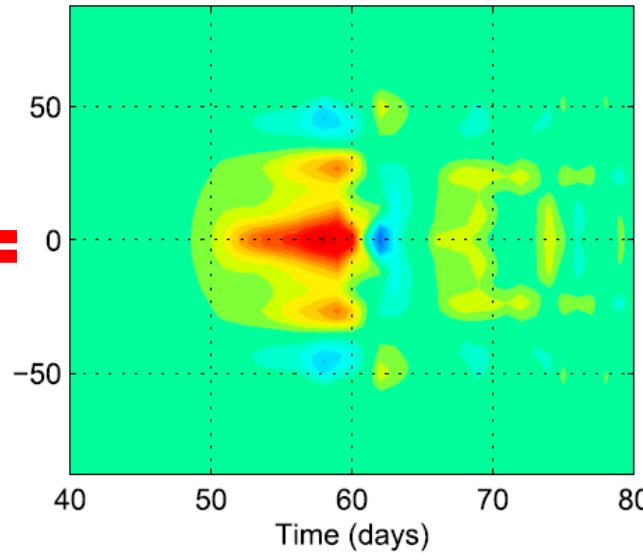


# Weakly unstable/weakly nonlinear simulation

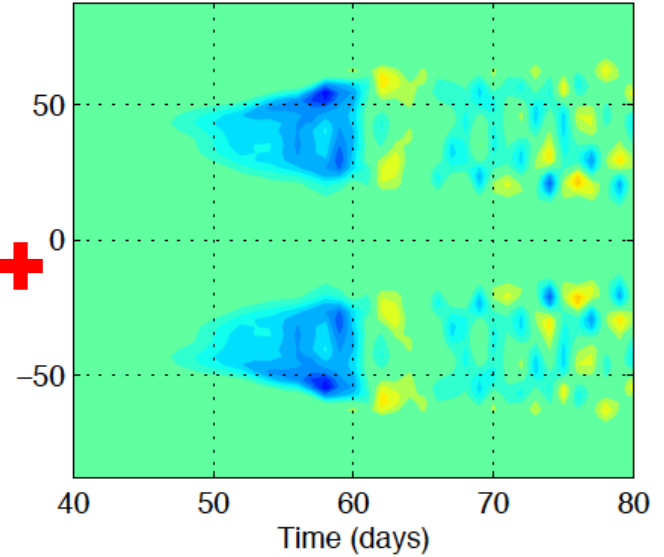
Eddy momentum convergence



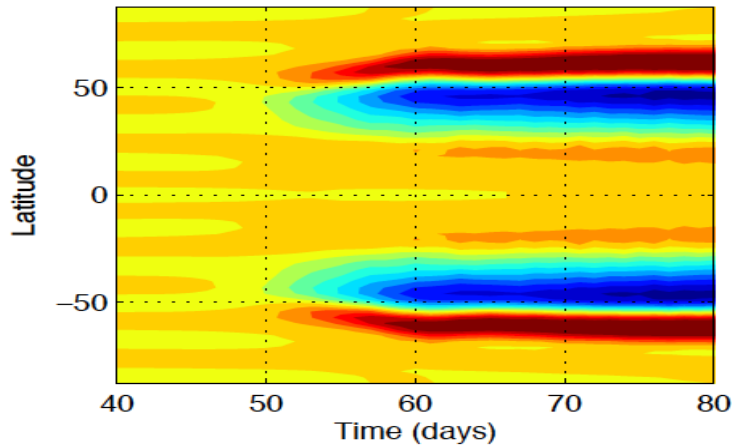
Divergence forcing  $-\overline{u'D'}$



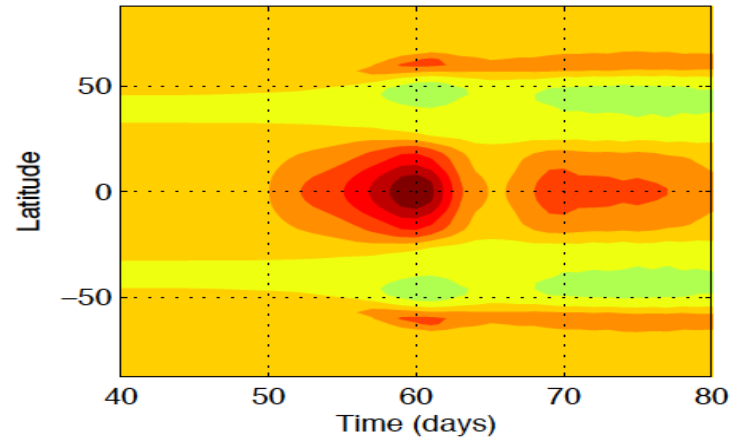
Vorticity flux  $\overline{v'\xi'}$



Zonal wind change

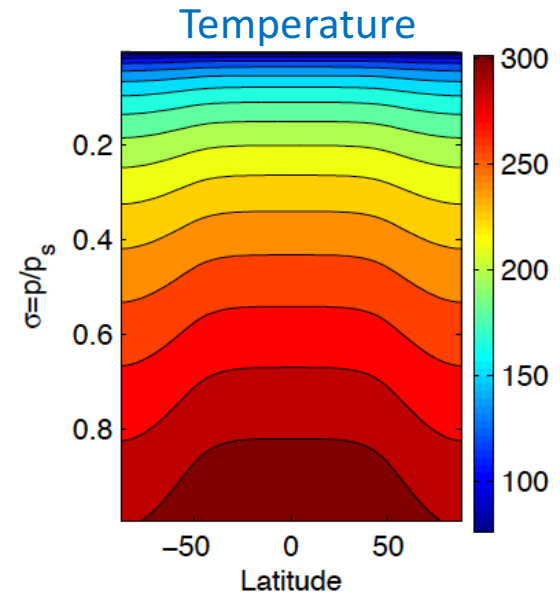
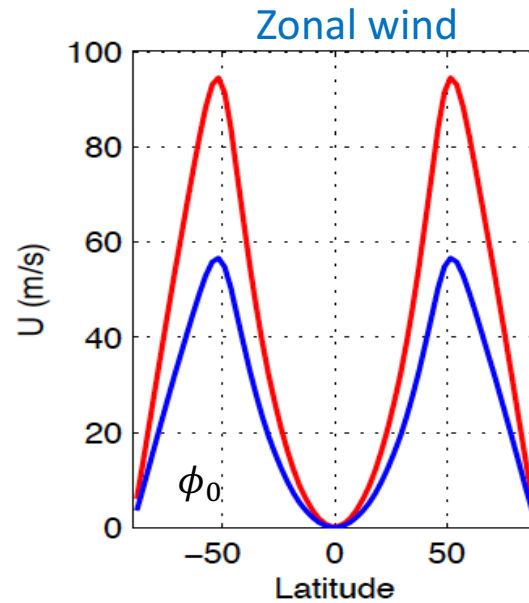
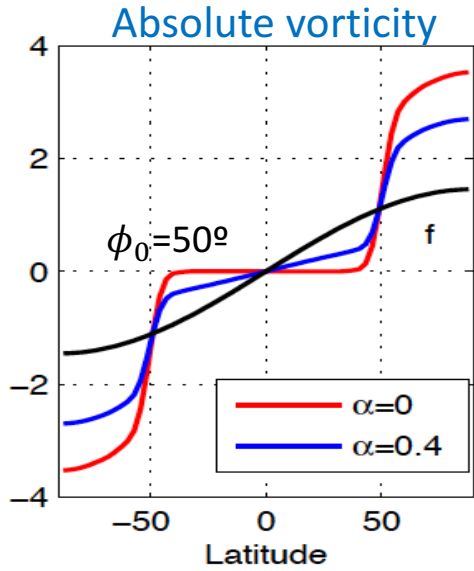


Eddy momentum  $\overline{u'h'}$



More unstable NL simulations crash. As the Kelvin wave steepens,  $h' \rightarrow \bar{h}$  and  $h \rightarrow 0$

# Equilibration of Kelvin-Rossby instability in 3D



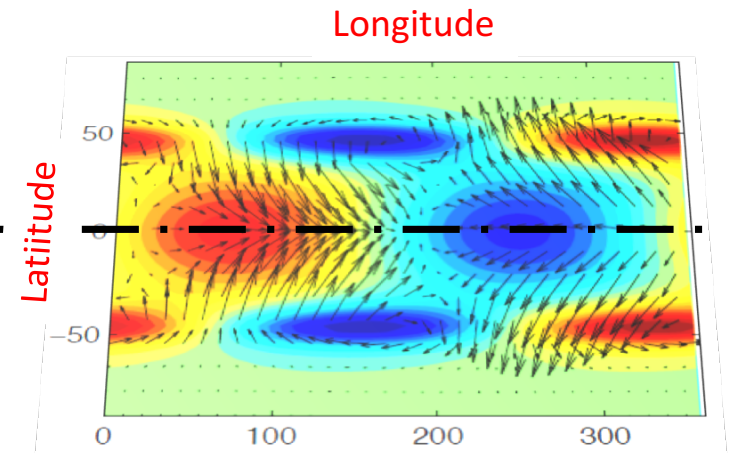
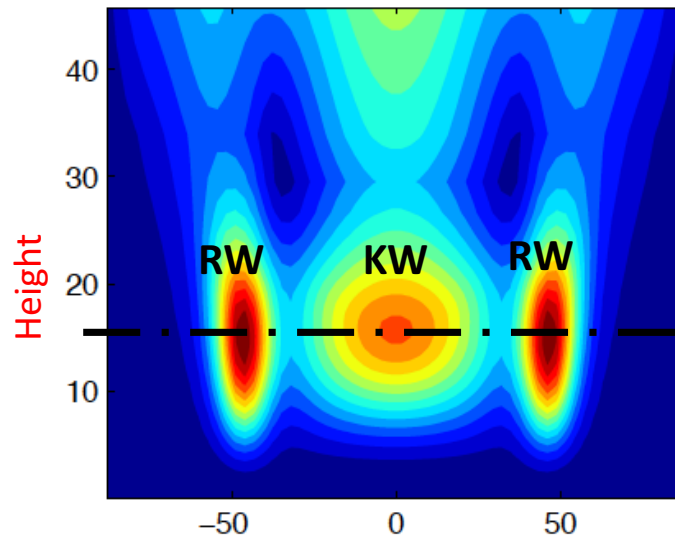
Barotropic basic state (same as before), uniformly stratified => **INTERNAL** Kelvin modes

Eddy amplitude MUM

$$gh_{eq} = 1000 \text{ m}^2 \text{ s}^{-2} \text{ (MUM)}$$

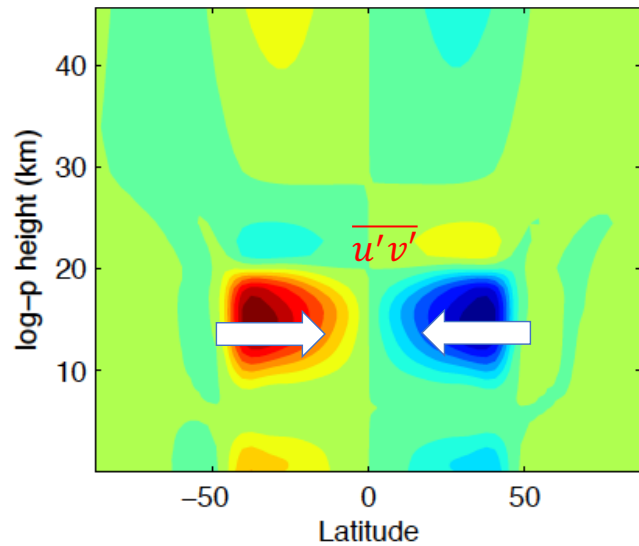
$$\updownarrow$$

$$\lambda_z \approx 29 \text{ km}$$

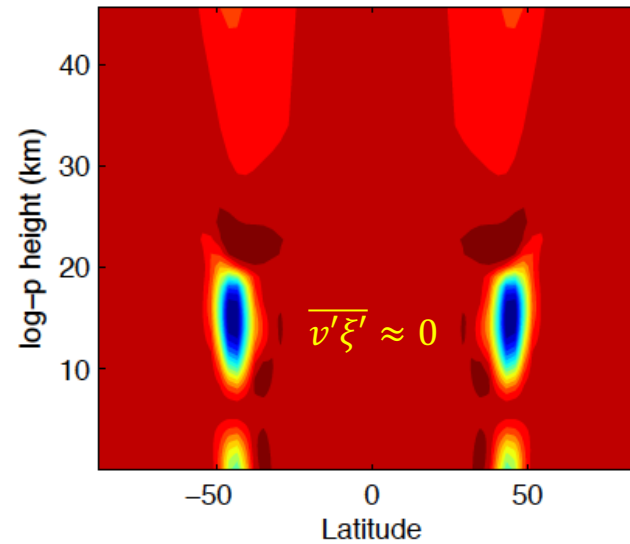


As before, equatorward momentum fluxes but weak vorticity fluxes in the tropics

Eddy momentum flux MUM

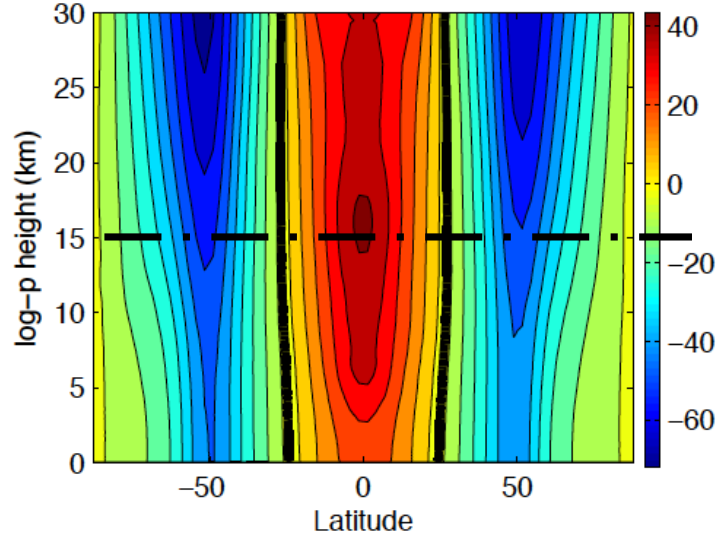


Eddy vorticity flux MUM)

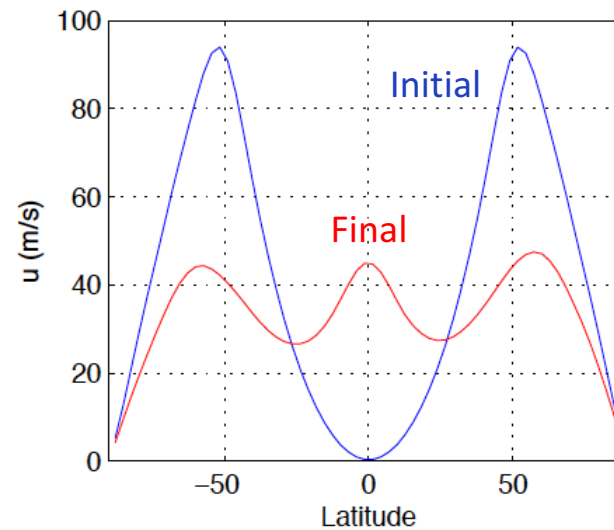


However, we can get superrotation now.

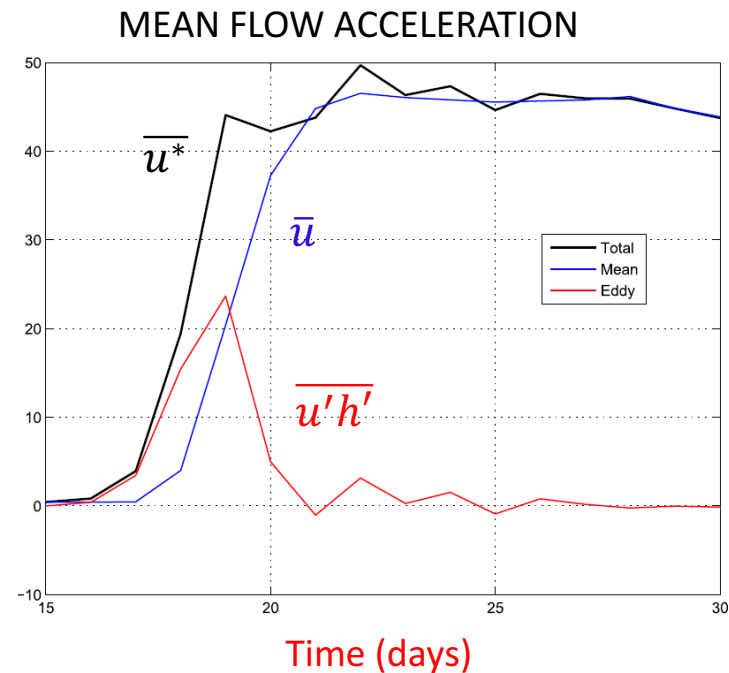
Zonal wind change (m/s)



15-km zonal wind

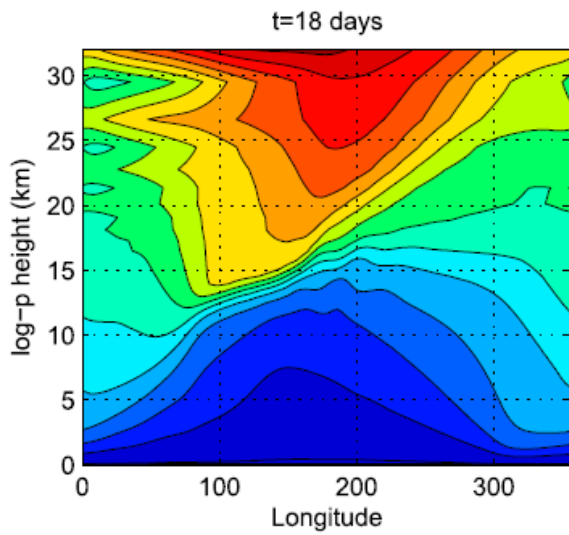


Isentropic diagnostics (near level max. acceleration,  $h$  is isentropic density now)

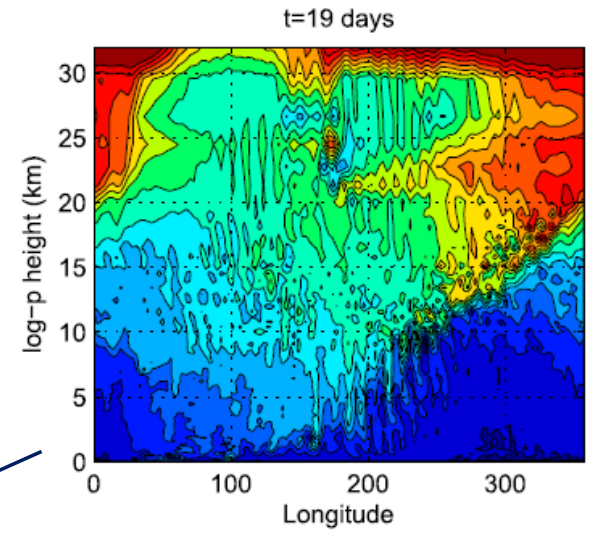
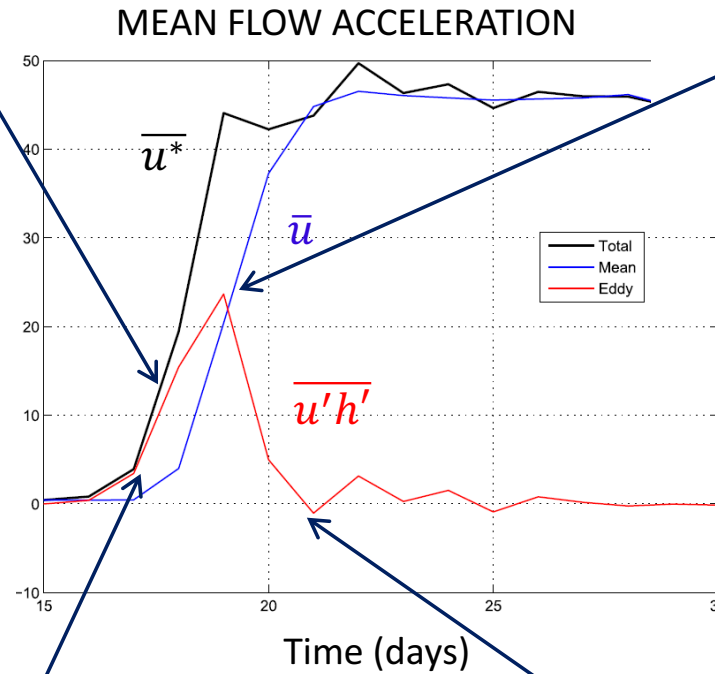
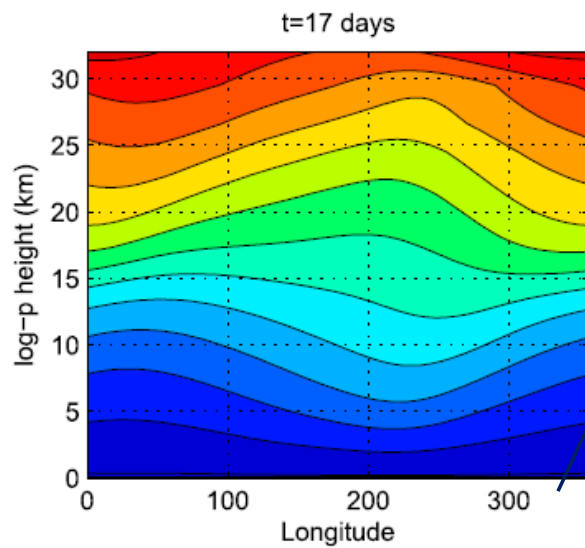


- Initially only  $\overline{u'h'}$  grows, as in the shallow-water model
- After 1-2 days,  $\overline{u}$  starts growing. The Eulerian-mean acceleration dominates the change in  $\overline{u^*}$  at equilibration as  $\overline{u'h'}$  dissipates

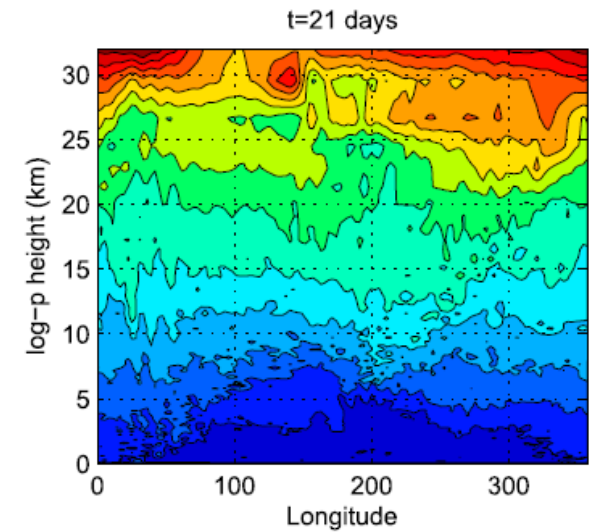
## 2. Frontal steepening: $h \rightarrow 0$



## 1. QL stage: $\overline{u'h'}$ grows

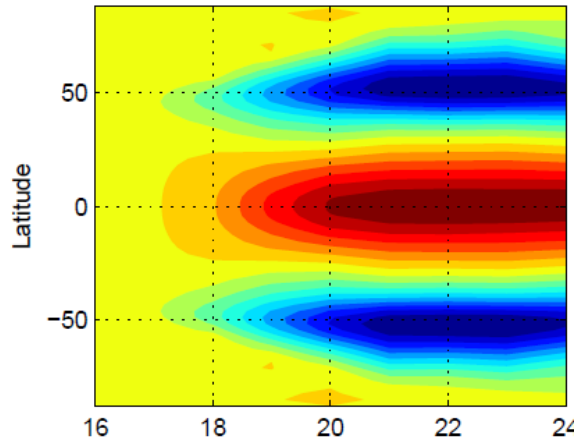


## 3. Kelvin wave-breaking



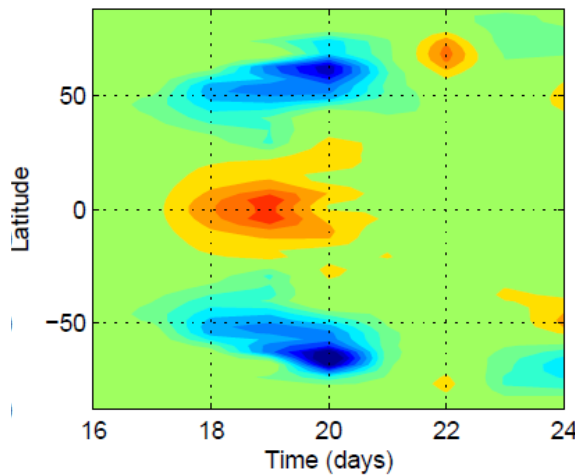
## 4. Mixing/dissipation

### Vertical-mean wind change



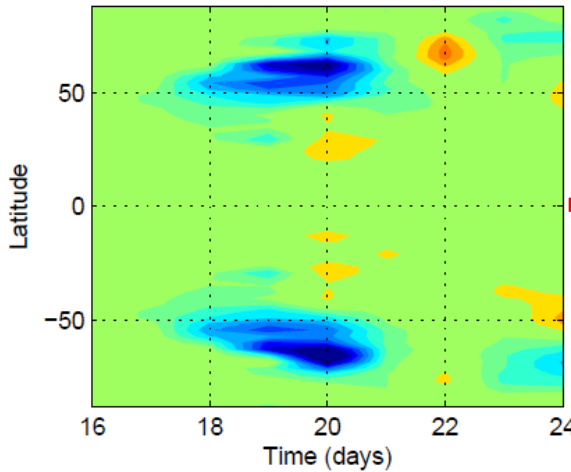
- Though formally adiabatic, **thermal** dissipation is key to changing  $\bar{u}$
- Eulerian acceleration imparted by **cross-isentropic advection** during Kelvin wave breaking  $\overline{u'h'} \rightarrow \bar{u}$

### Vertical-mean eddy acceleration



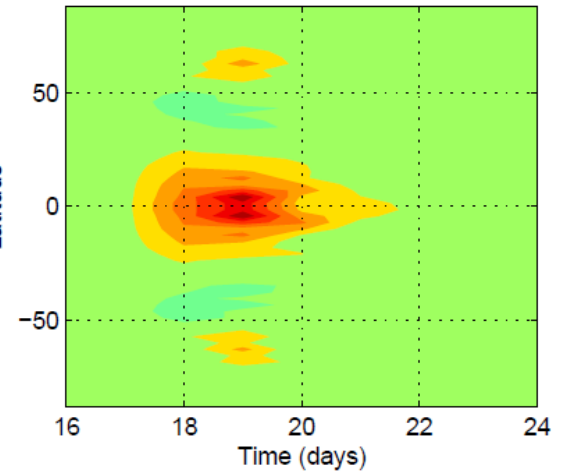
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### Vertical-mean vorticity flux



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### Vertical-mean cross-isentropic advection



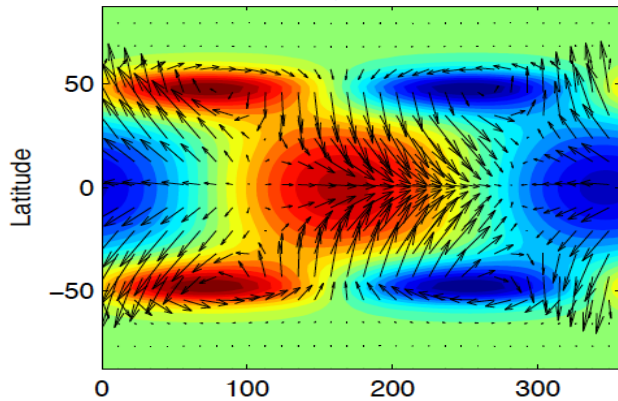
Would need to parameterize Kelvin wave breaking to get this in shallow water model

# Superrotation in rapidly-rotating planets

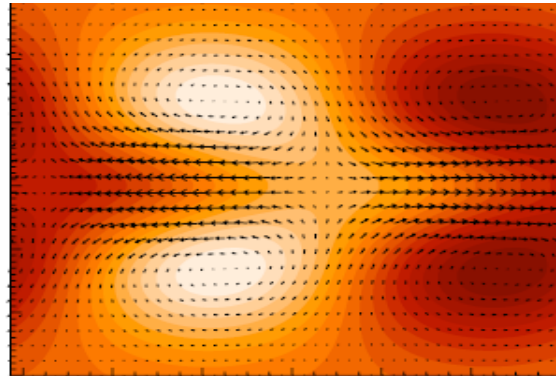
Results strongly reminiscent of Showman & Polvani (2011)

- > Forced Matsuno-Gill response to day/night heating contrast
- > Superrotation driven by Kelvin-Rossby interaction

Kelvin-Rossby Unstable Mode



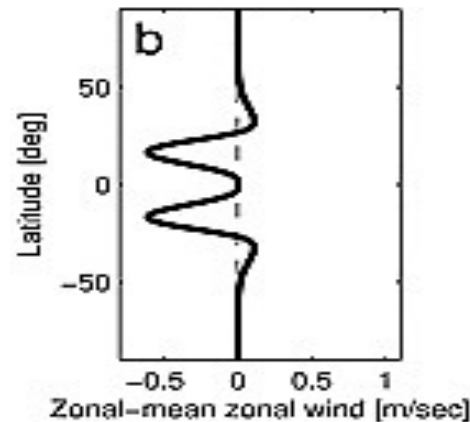
Showman & Polvani (2011)



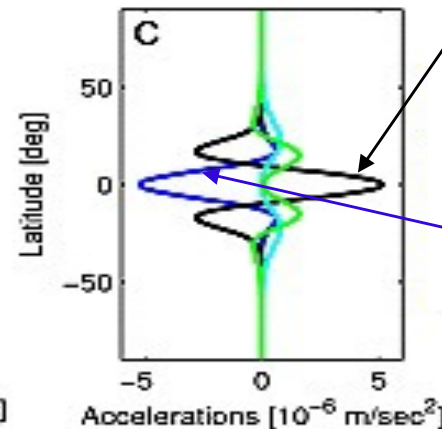
- Main differences:
- Forced/unforced
  - Small thermal Ro
  - Narrow tropics

Similar to us, their standard shallow-water model does not superrotate

Zonal wind/vorticity flux



Acceleration



Vorticity flux only vanishes near the equator, but is **negative elsewhere** (weak vorticity source) and **cannot accelerate the flow**

SP11 achieved superrotation by adding vertical advection

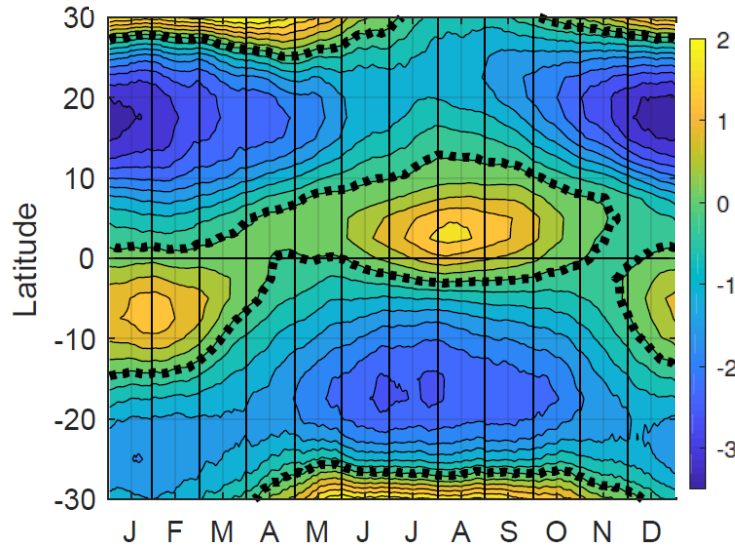
Showman & Polvani (2010)



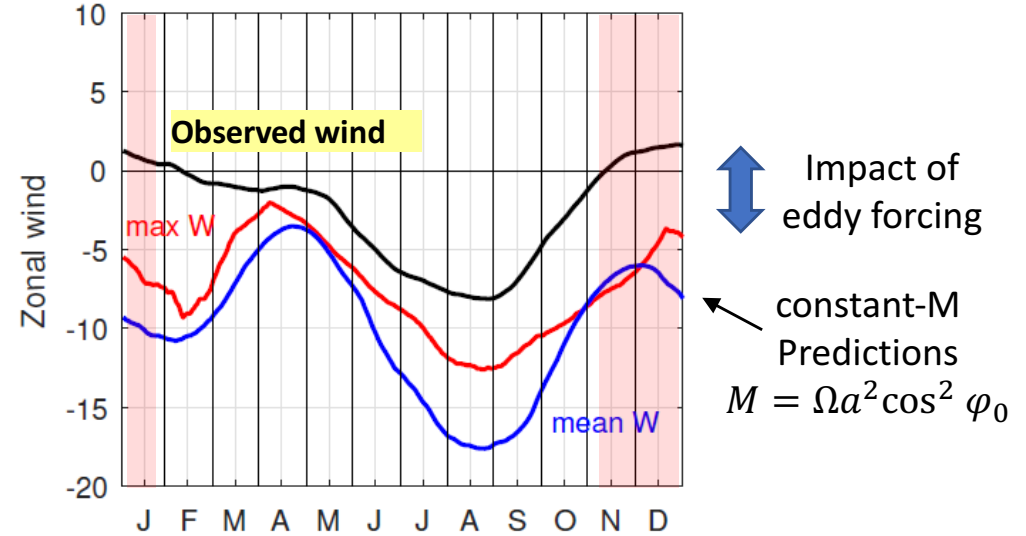
# Dynamics of weak superrotation on Earth

Zurita-Gotor (2019)

Eddy acceleration (300-150 hPa)

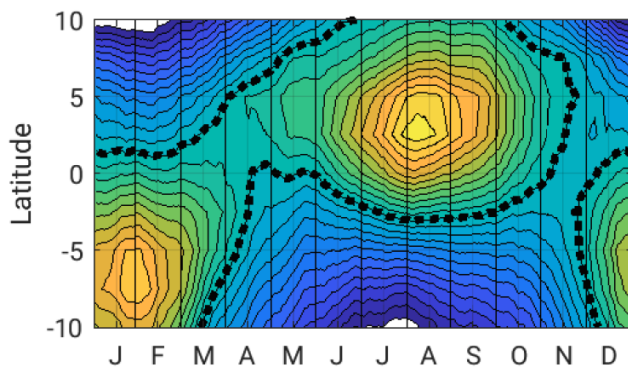


Mean equatorial wind

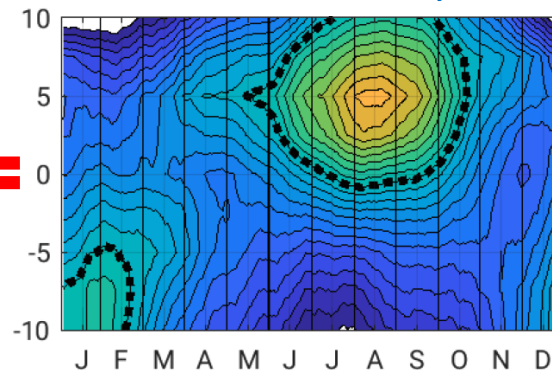


- Year-round westerly acceleration at the Equator, specially during solstices
- Only weak superrotation (i.e., relative to ITCZ) due to import of low  $M$  by Hadley cell

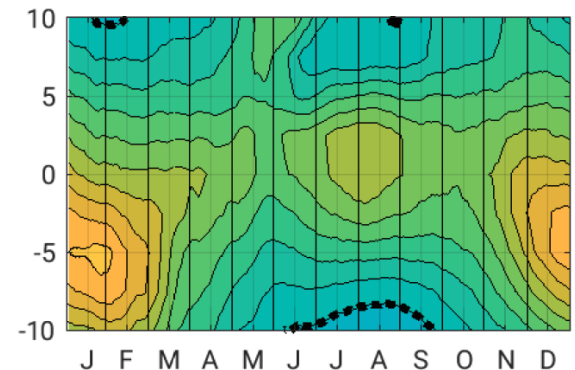
Full acceleration



Meridional vorticity flux



Vertical advection

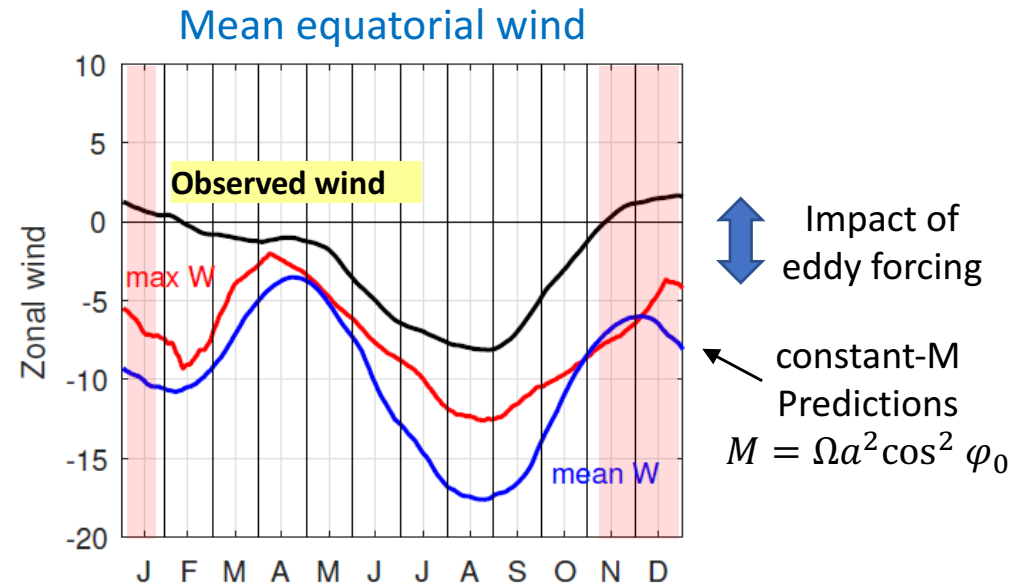
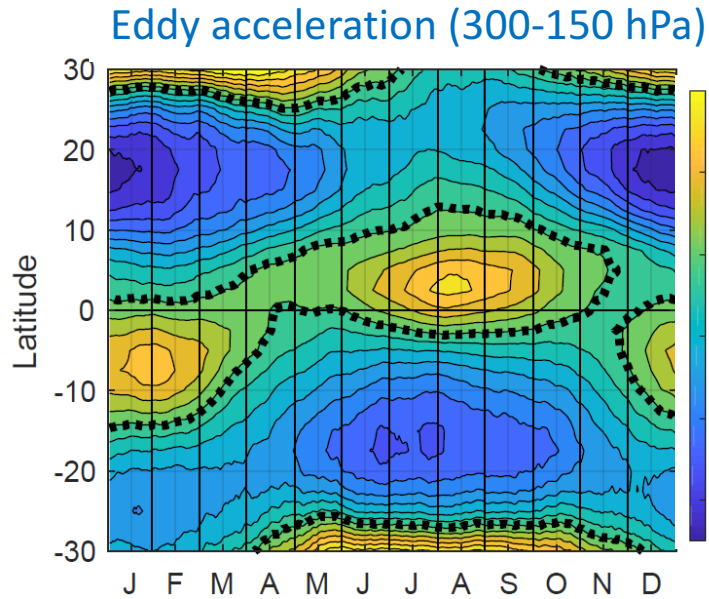


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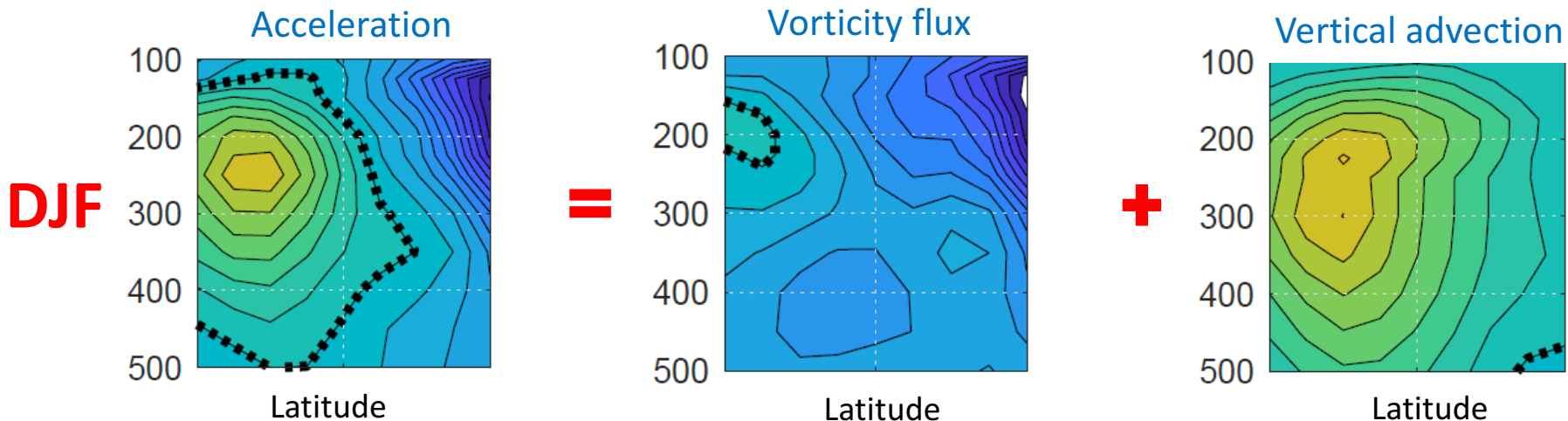
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# Dynamics of weak superrotation on Earth

Zurita-Gotor (2019)



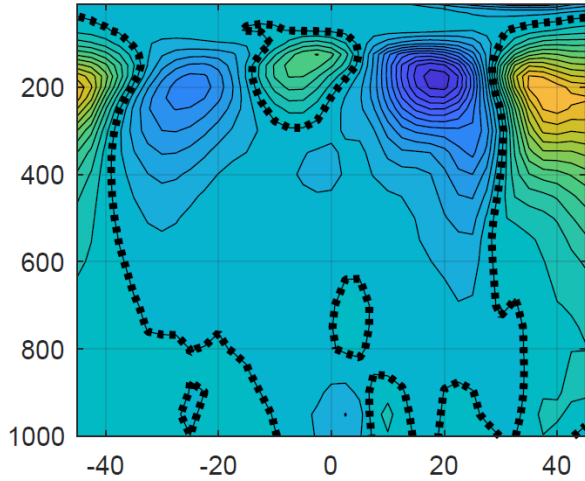
- Year-round westerly acceleration at the Equator, specially during solstices
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DJF

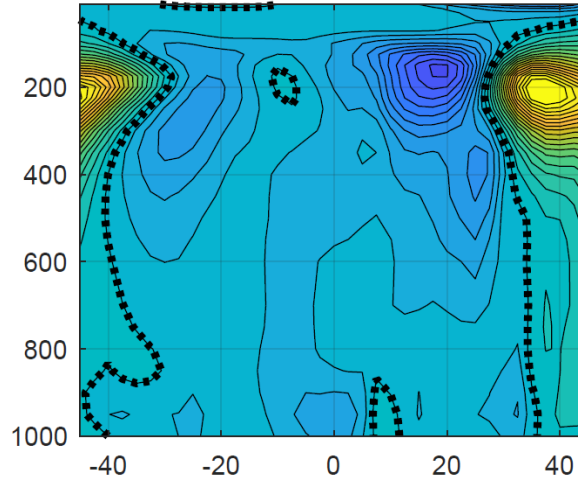
$$-\frac{\partial \overline{u'v'}}{\partial y} = \overline{v'\xi'} - \overline{u'D'}$$

Merid. convergence  $-\partial_y \overline{u'v'}$



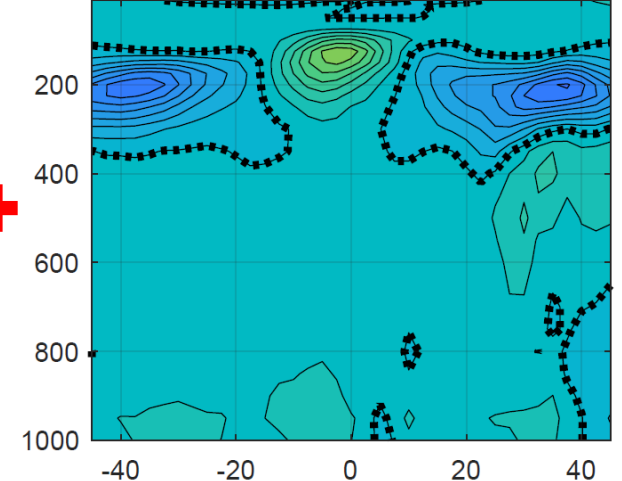
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Vorticity flux  $\overline{v'\xi'}$

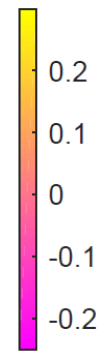
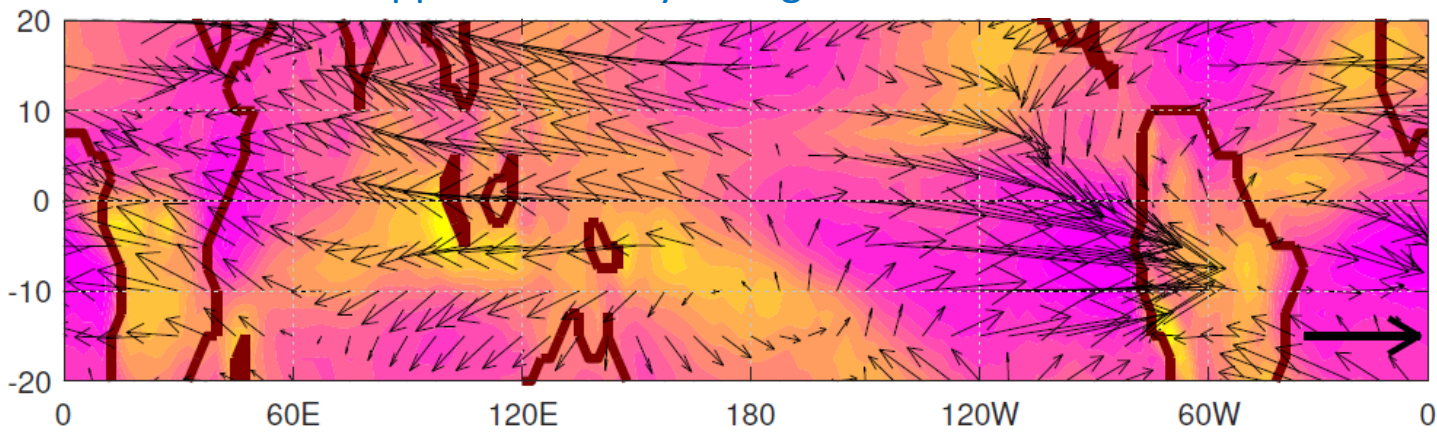


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Divergence forcing  $-\overline{u'D'}$



DJF Upper-level eddy divergence and wind vectors



$\overline{u'D'} < 0$

yellow: divergence  
magenta: convergence

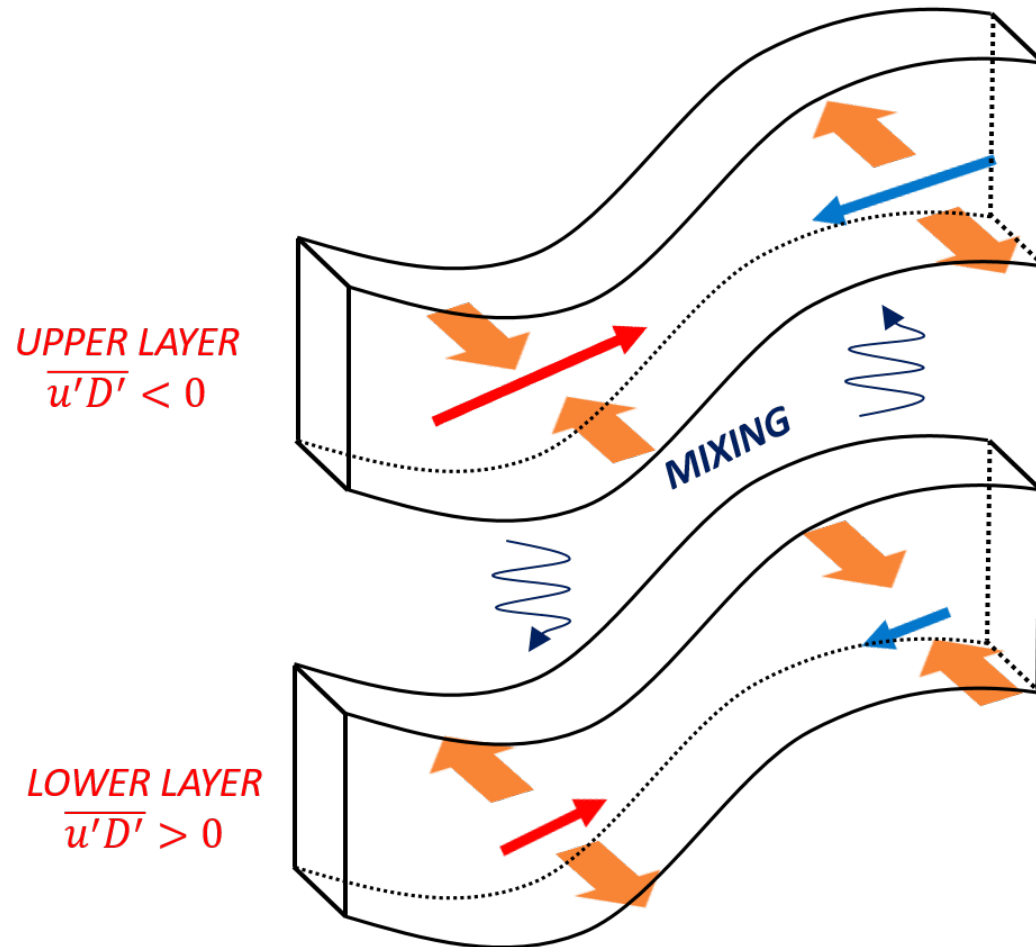
## Vertical advection/mixing key for irreversibility

### Meridional convergence

$$-\frac{\partial \overline{u'v'}}{\partial y} = \overline{v'\xi'} - \overline{u'D'}$$

### Full eddy acceleration

$$\vec{\nabla} \cdot \vec{F} = \overline{v'\xi'} - \overline{\omega' \frac{\partial u'}{\partial p}}$$

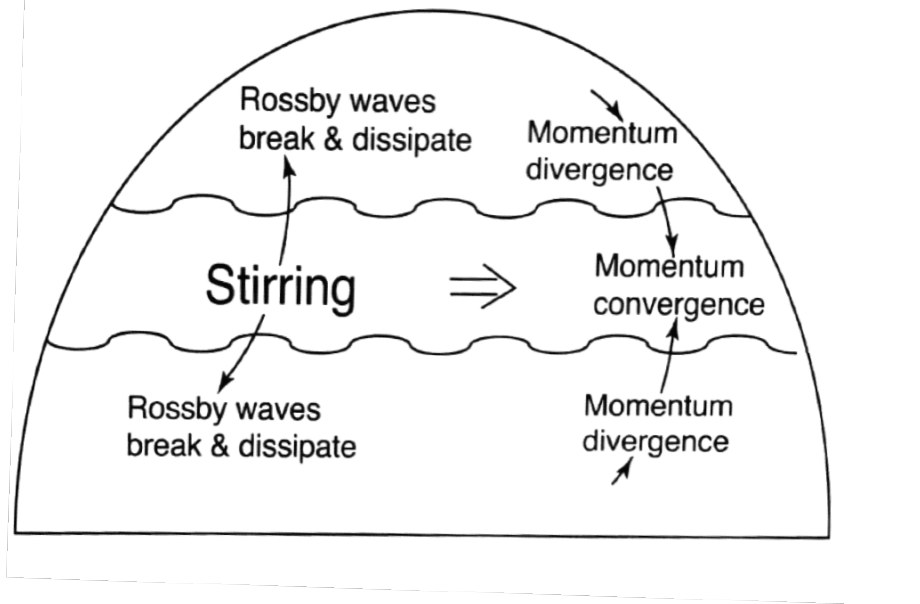


With no vertical shear, the upper-layer acceleration  $\overline{u'D'} < 0$  would be compensated by lower-layer deceleration  $\overline{u'D'} > 0$

**With vertical shear, there is vertical advection and mixing**

# Conclusions

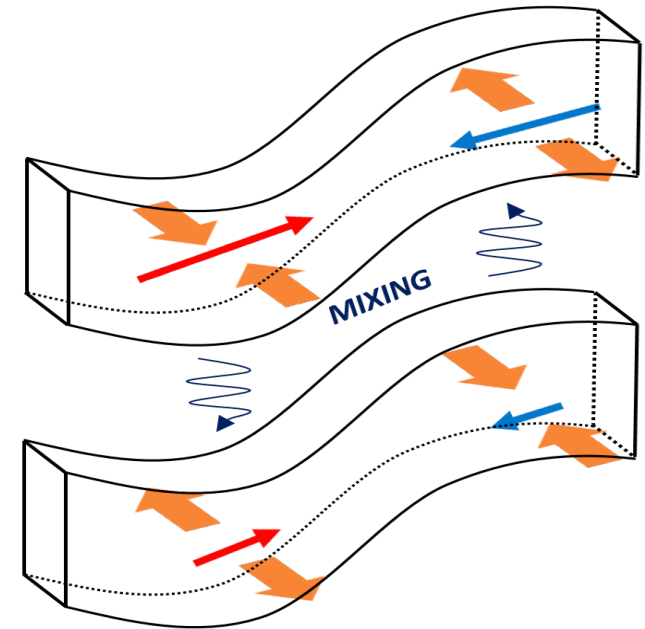
## Extratropical eddy-driven jets



$$-\frac{1}{a \cos^2 \varphi} \frac{\partial(\overline{u'v'} \cos^2 \varphi)}{\partial \varphi} \approx \overline{v' \xi'}$$

- Governed by **rotational** dynamics, acceleration by **upgradient** vorticity fluxes (need a vorticity source)
- Mechanism: Rossby wave propagation
- Dissipation: breaking vorticity waves

## Equatorial eddy-driven jets



$$-\frac{1}{a \cos^2 \varphi} \frac{\partial(\overline{u'v'} \cos^2 \varphi)}{\partial \varphi} = \overline{v' \xi'} - \overline{u' D'}$$

- Weak vorticity source → **downgradient** vorticity fluxes decelerate the flow.
- **Divergent** mechanism: eddy vertical overturning
- **Diabatic** dissipation, momentum mixing due to cross-isentropic advection

$$\begin{aligned} \bar{u}_t + \bar{v}^*[(a \cos \phi)^{-1}(\bar{u} \cos \phi)_\phi - f] + \bar{Q}^* \bar{u}_\theta - \bar{X}^* \\ = -\bar{\sigma}^{-1}(\overline{\sigma' u'})_t + (\bar{\sigma} a \cos \phi)^{-1} \bar{\nabla} \cdot \bar{\mathbf{F}} \end{aligned}$$

Isentropic EP relation  
Andrews et al (1987)

(3.9.7a)

**Mass-weighted momentum**  $\frac{\partial}{\partial t}(\bar{h} \bar{u}^*) - \bar{h} \bar{v}^*(f + \bar{\xi}) + \bar{h} \bar{Q}^* \frac{\partial \bar{u}}{\partial \theta} = \frac{1}{a \cos \phi} \bar{\nabla} \cdot \bar{\mathbf{F}}$

Eulerian-mean momentum  $\frac{\partial}{\partial t}(\bar{h} \bar{u}) - \bar{h} \bar{v}^*(f + \bar{\xi}) + \bar{h} \bar{Q}^* \frac{\partial \bar{u}}{\partial \theta} \approx \bar{h}^2 \overline{v' q'} - \overline{\bar{h} Q' \frac{\partial u'}{\partial \theta}}$

Eddy momentum  $\frac{\partial}{\partial t}(\overline{u' h'}) \approx -\bar{h}^2 \overline{v' q'} + \overline{\bar{h} Q' \frac{\partial u'}{\partial \theta}} + \frac{1}{a \cos \phi} \bar{\nabla} \cdot \bar{\mathbf{F}}$

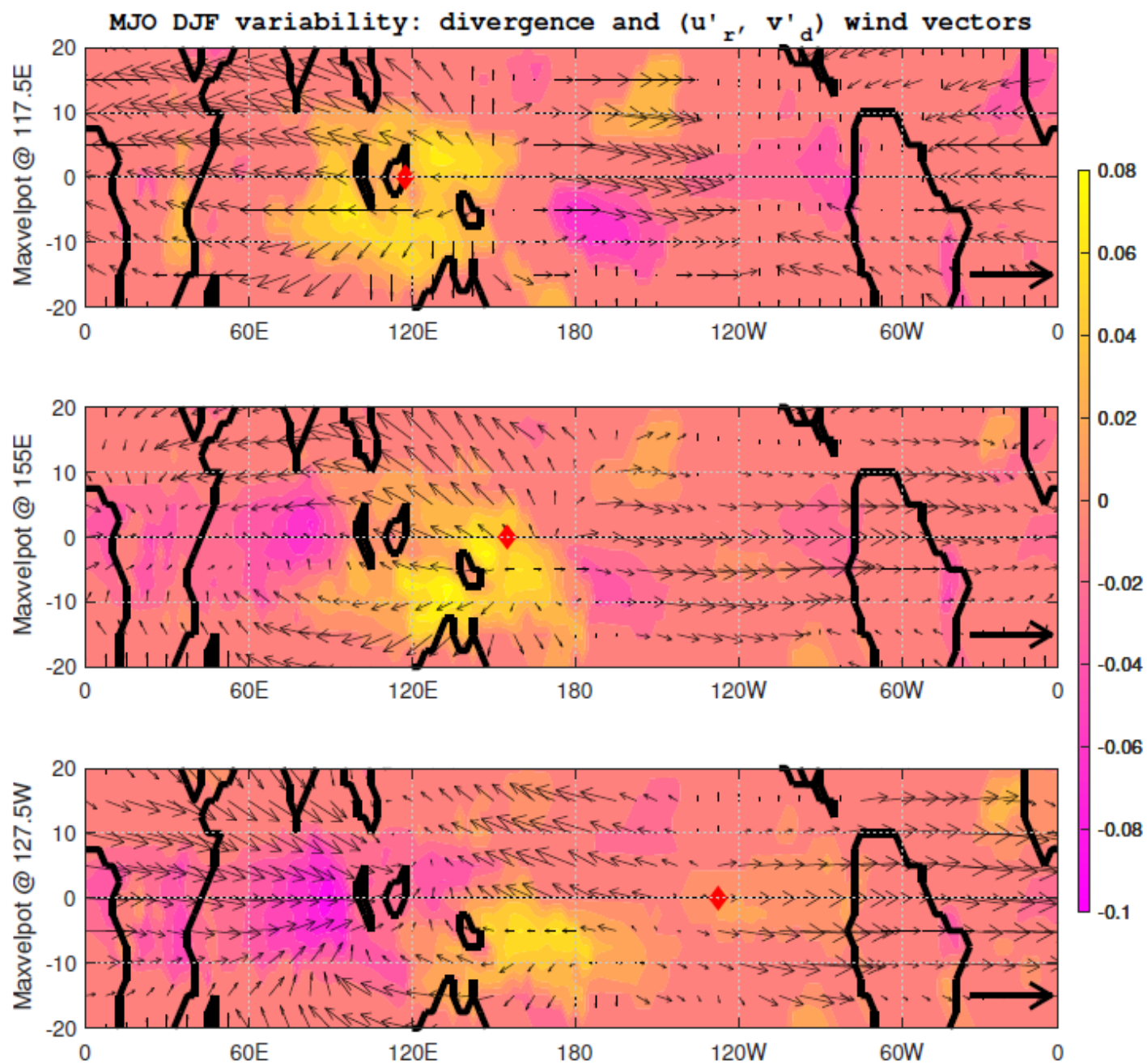
- Eliassen-Palm convergence forces mass-weighted momentum, not just  $\bar{u}$
- When  $\bar{h}^2 \overline{v' q'} - \overline{\bar{h} Q' \frac{\partial u'}{\partial \theta}} = 0$ ,  $\bar{\nabla} \cdot \bar{\mathbf{F}}$  only accelerates the eddy term  $\overline{u' h'}$ !
- Changing the Eulerian-mean momentum requires mixing/dissipation:

$$\bar{h}^2 \overline{v' q'} - \overline{\bar{h} Q' \frac{\partial u'}{\partial \theta}} \neq 0$$

$\overline{v' q'}$  = Rossby wave breaking

$\overline{Q' \frac{\partial u'}{\partial \theta}}$  = cross-isentropic advection

# MJO

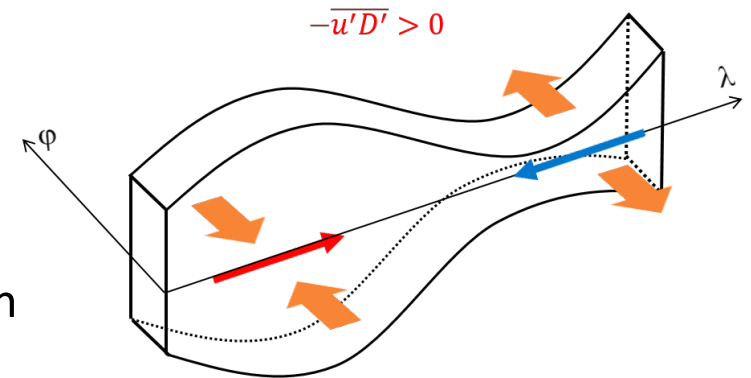


- Kelvin-Rossby instability (superrotation of small/slowly rotating planets)

- Negligible vorticity flux:  $\overline{v'\xi'} \approx 0$

- Eddy momentum convergence due to  $-\overline{u'D'}$ , only change mass-weighted momentum  $\overline{u'h'}$

- Acceleration due to cross-isentropic advection in connection with Kelvin wave breaking

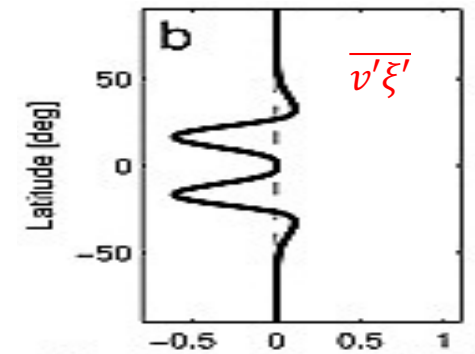


- Showman & Polvani (superrotation of tidally-locked hot Jupiters)

- Vorticity flux small only at the Equator but negative elsewhere  $\overline{v'\xi'} < 0$  (vorticity sink, not source!)

- Meridional momentum convergence by  $-\overline{u'D'}$  cannot change Eulerian-mean momentum

- Acceleration requires vertical (cross-isentropic) advection



- Weak superrotation on Earth during the solstices

- With weak vorticity source, also expect negative vorticity fluxes  $\overline{v'\xi'} < 0$