

# INTERPLAY OF PLANETARY WAVES AND STOCHASTICALLY FORCED TURBULENCE IN THE TROPICS ON A SHALLOW EARTH

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*A band of clouds forms in the inner tropical convergence zone (Physics Today).*

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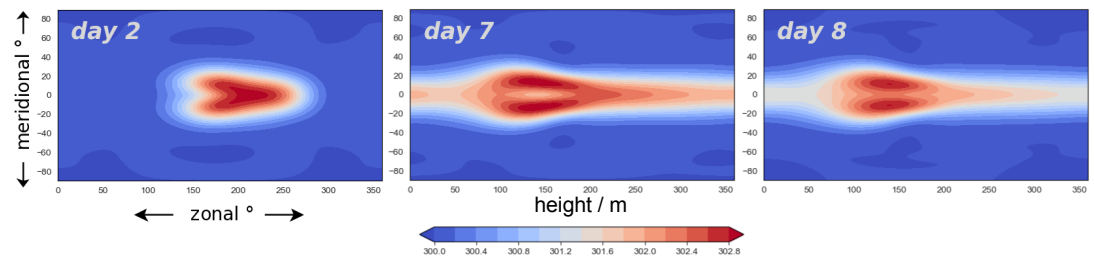
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# I) Approach to Moist Equatorial Waves

... from the *perspective of small scale stochastic forcing*

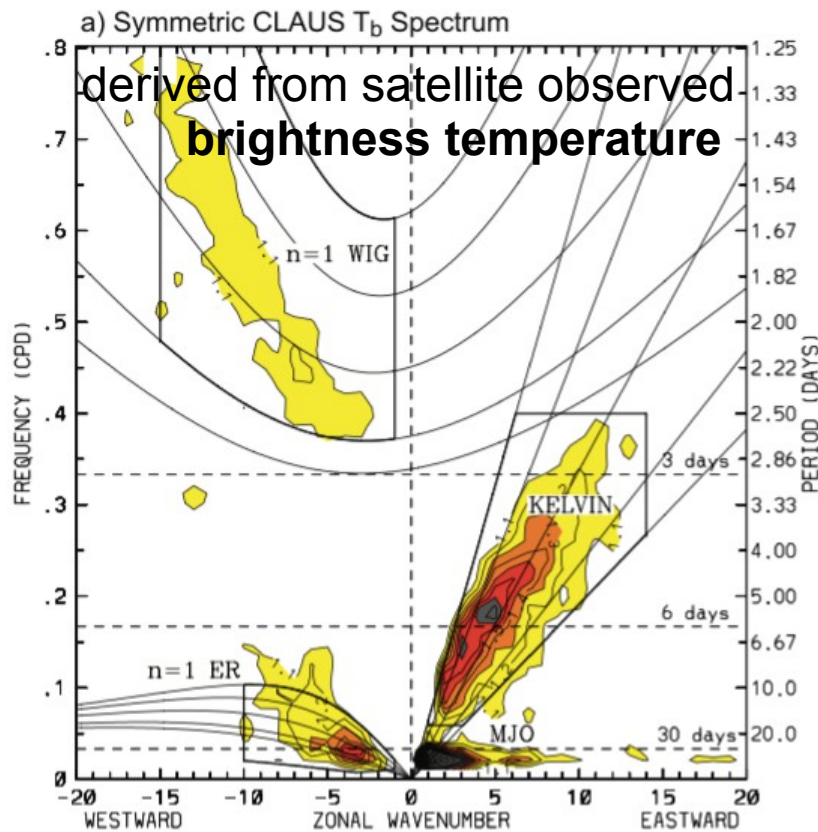
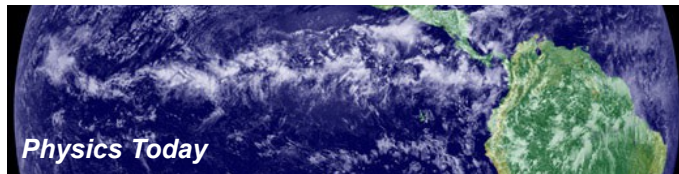
## Validation Experiment

We excite a dry solution as calculated by *Gill (1980)*



We include **moisture** in the equations & ask:

- Can small scale stochastic forcing initiate **self-aggregation**?
- How does a moist upscale energy **cascade** emerge?
- What kind of stochastic forcing excites **planetary waves**?



*Wheeler & Kiladis (1999)*

# I) Moist Shallow Water Equations

Vorticity  $\zeta_t = -\nabla \cdot (\zeta \mathbf{v}) + f^\zeta$  ←

Divergence  $\delta_t = (\nabla \times \zeta \mathbf{v})_m - \Delta E + f^\delta$

Height  $h_t = -\nabla \cdot (h \mathbf{v}) - L q^+ / \tau_q + f^h + S^h$

Moisture  $q_t = -\nabla \cdot (q \mathbf{v}) - q^+ / \tau_q - q^- / \tau_e + f^q$

Dry Energy  $E = gh + \frac{\|\mathbf{v}^2\|}{2}$  ↑ open system  
due to asymmetry  
and nonlinearity

$\tau_q = 0.1$  day       $\tau_e = 10.0$  days

## Stochastic noise

- Random kicks
- Lévy-process
- Conservative

## Background Literature

**Kuskin (2006)**  
*Randomly forced  
 nonlinear PDEs  
 and statistical  
 hydrodynamics in  
 2 space dimensions*

The code is based on **dynamical core** developed by *Schaeffer et al. (2013, 2018)*.  
 Used in experiments including **moisture** following *Gill (1982)* by *Suhas et al. (2015, 2017)*.

# I) Moist Shallow Water Experiments

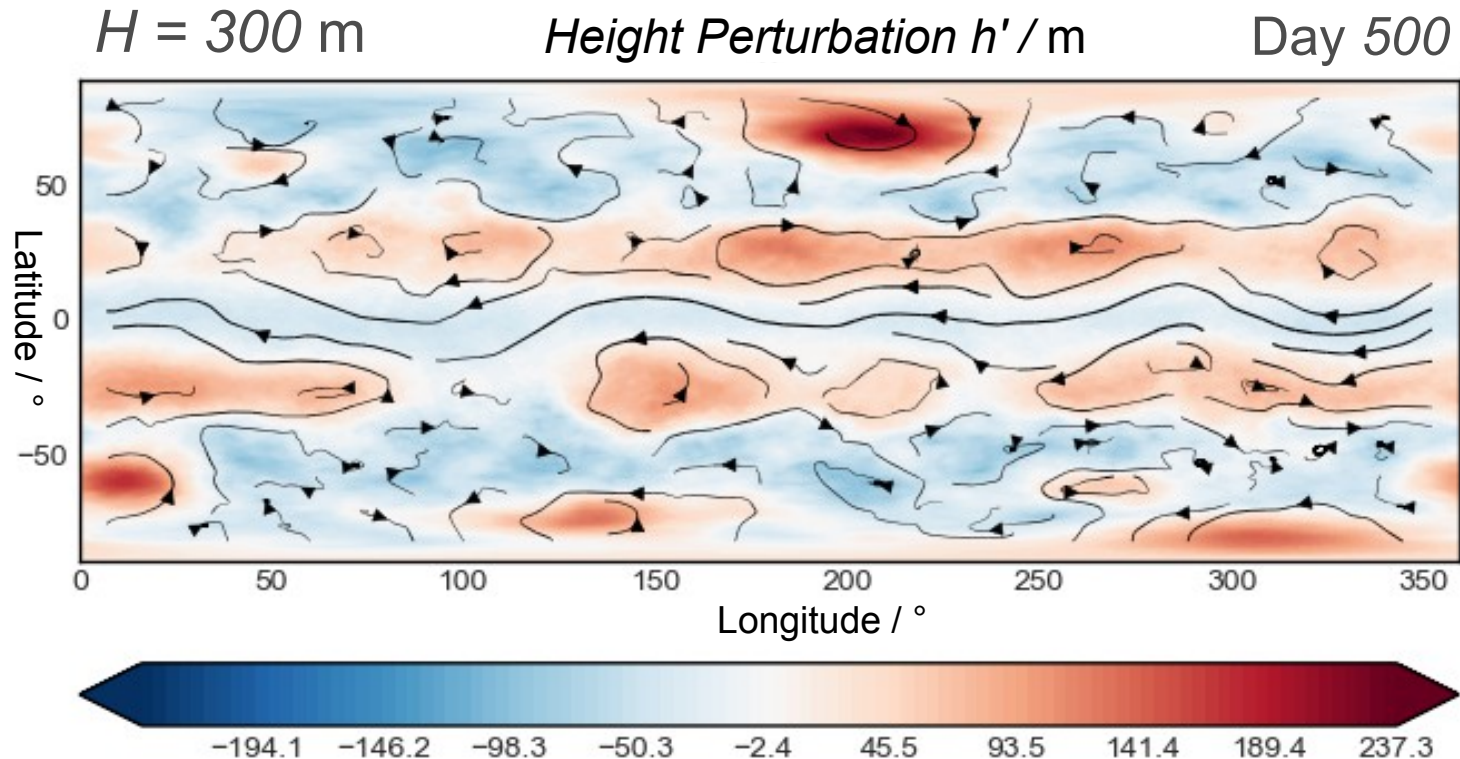
Overall, we ran **48 experiments** varying parameters of

- stochastic forcing **time-scale**
- stochastic forcing **spatial scale**
- **moisture** parameterization

Experiment	Dry/Moist	Noise on	
1	Dry	Vorticity	<i>Single-layer shallow water</i>
1.b	Passively Moist	Vorticity	
2	Dry	Divergence	
2.b	Passively Moist	Divergence	
3	Moist	Moisture	
4	Dry	Vorticity	<i>3-level pressure tendency</i>

# I) Shallow Water Flow on the Sphere

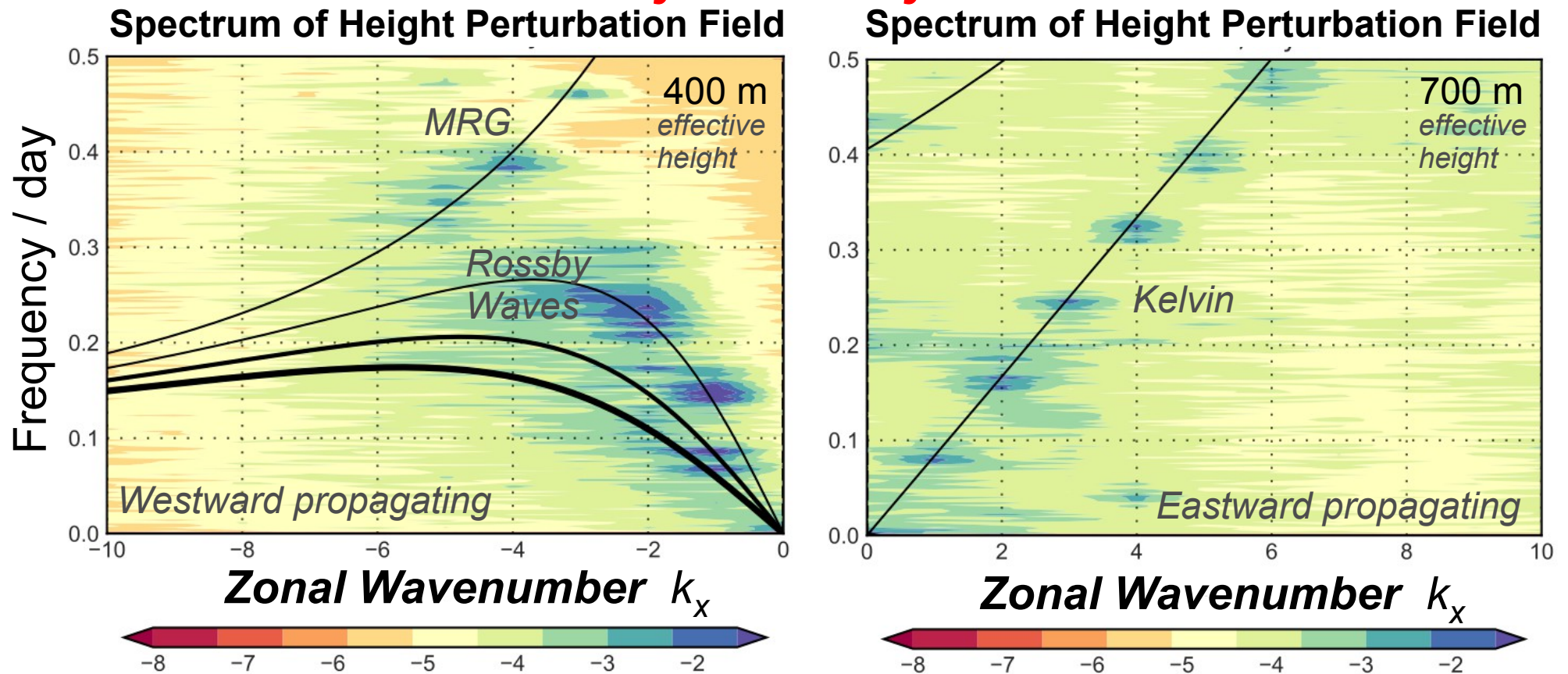
## *Experiment 1) Dry – vorticity forced*



*Easterlies in the tropics and westerly jets  
with (anti-)cyclones in the extra-tropics.*

# II) Space Time Spectra of Equatorial Waves

*Dry – vorticity forced*

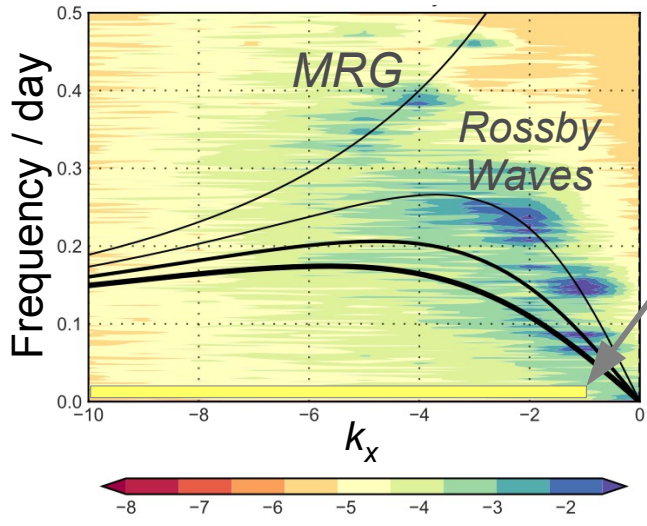


- **Very discrete waves** - reproduced from *Suhas et al. (2015)*.
- **Following linear dispersion** relations without removing background noise (needed to do in observations by *Wheeler and Kiladis, 1999*)
- **No low frequency modes** as observed in nature

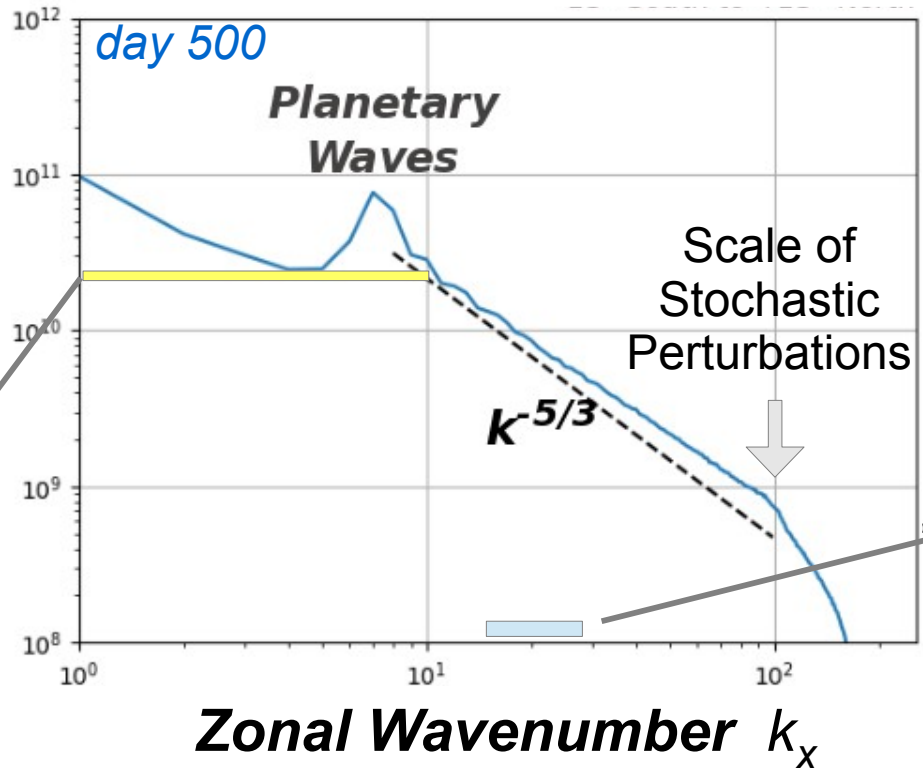
# II) Spectra of Waves & Turbulence in Tropics

*Experiment 1) Dry – vorticity forced*

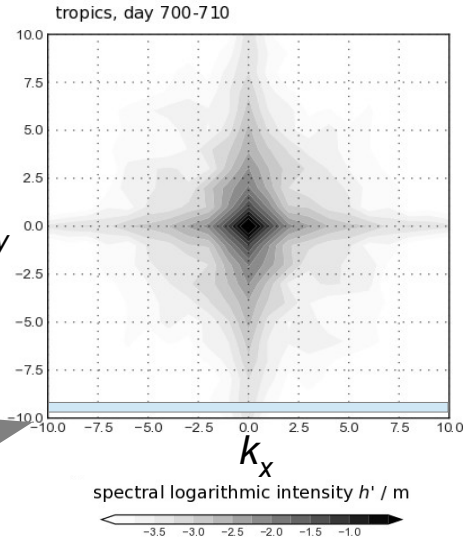
Space-Time Spectrum of Height Perturbation



1D Zonal Spectrum of Kinetic Energy



Horizontal 2D Spectrum of Height Perturbation in 20° x 20° area

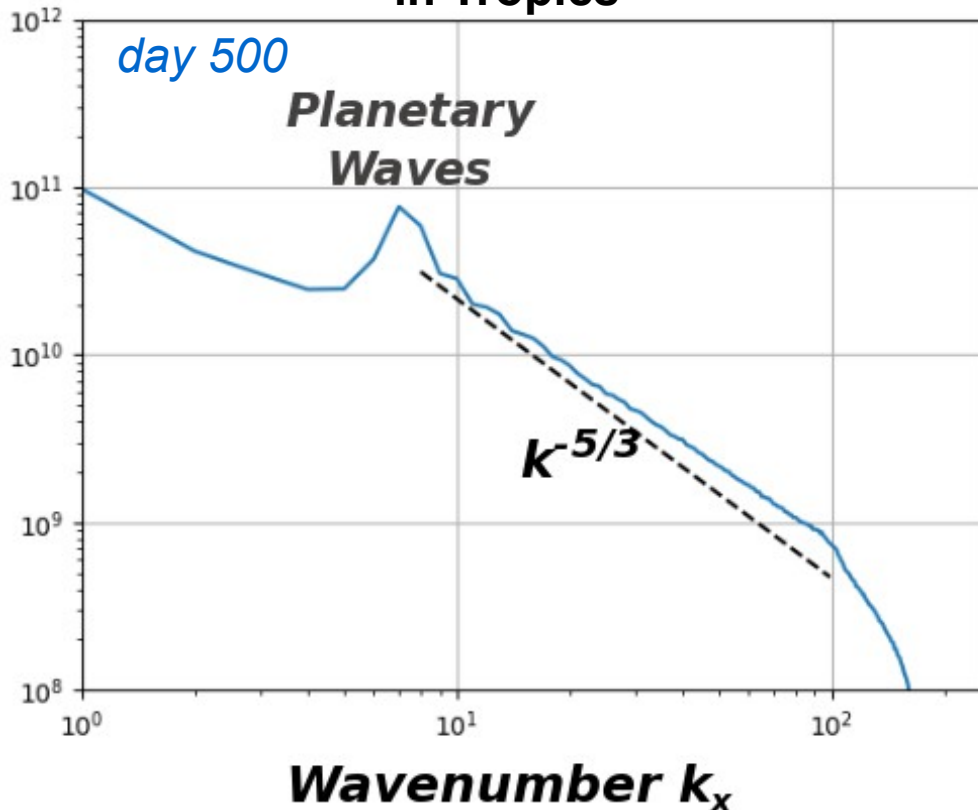


*Isotropic flow occurs below the scale of planetary waves.*

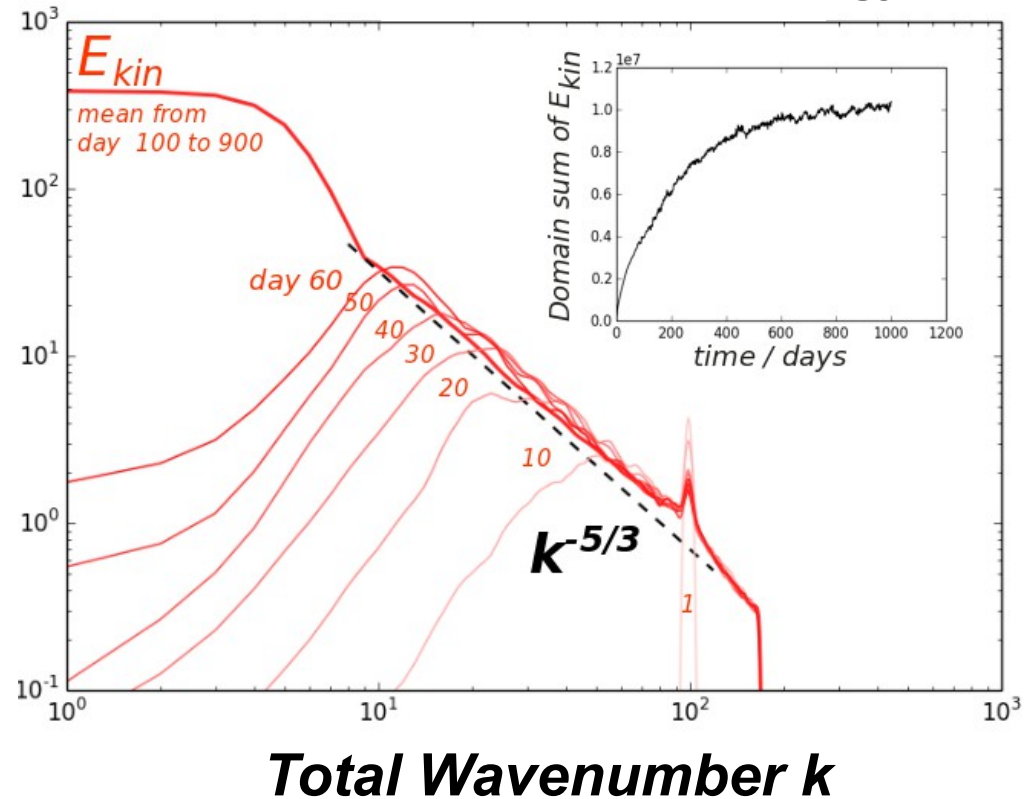
# II) Spectral Kinetic Energy Evolving in Time

*Experiment 1) Dry – vorticity forced*

1D Zonal Spectrum of Kinetic Energy  
in Tropics



Global Spectrum of Kinetic Energy

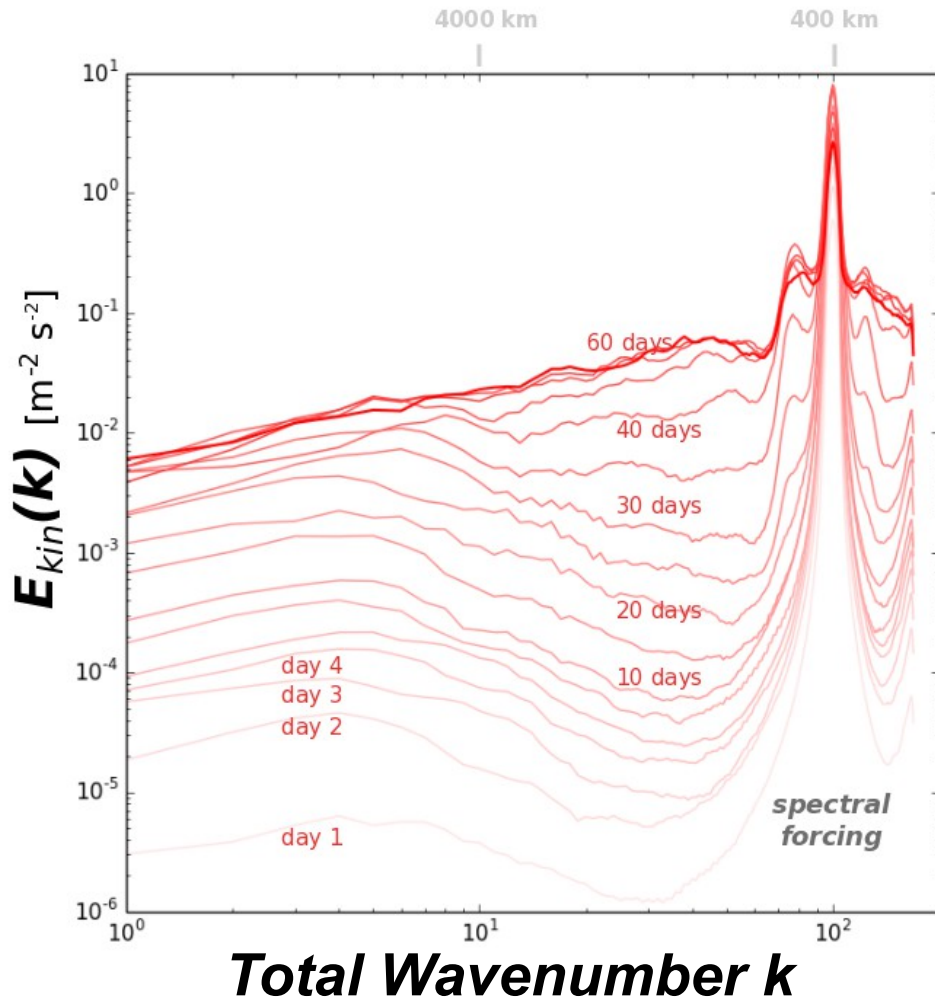


*Upscale cascade occurs in evolution of vorticity forced dry flow.*

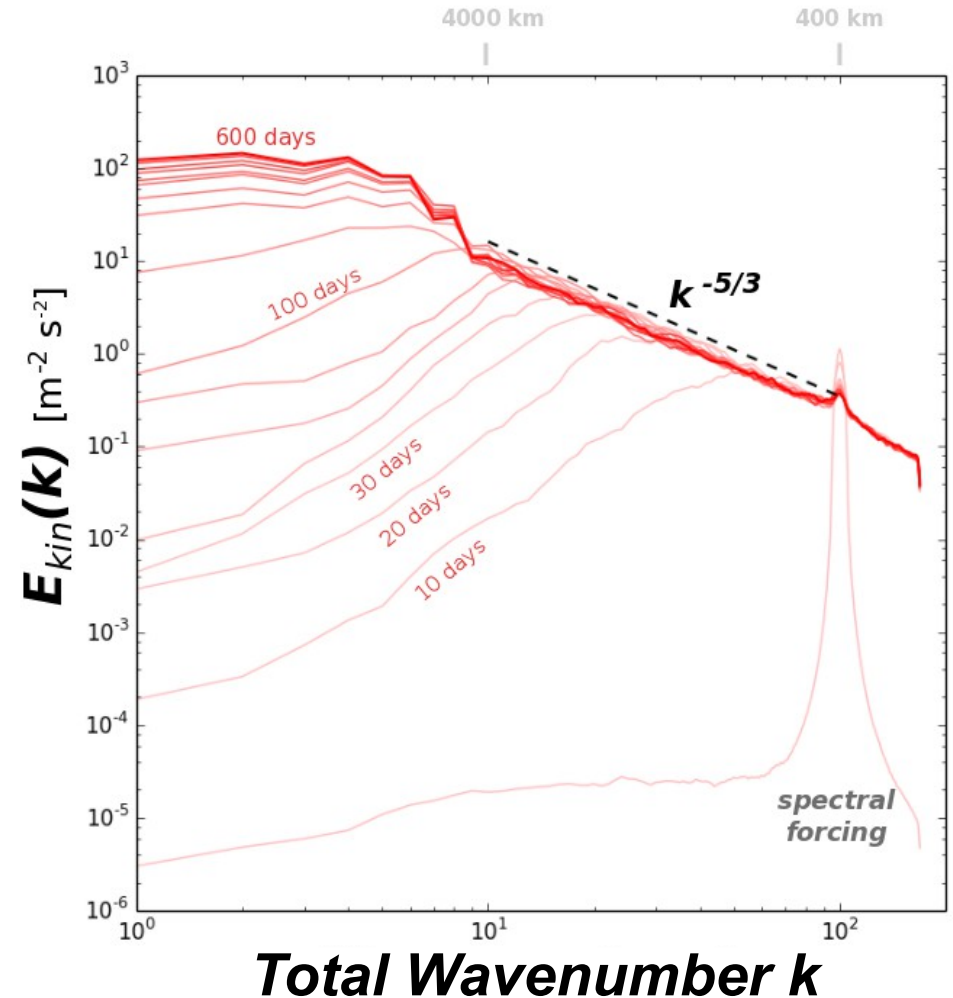


# II) Spectral Kinetic Energy Evolving in Time

*Exp. 2) Dry - divergence forced*



*Exp. 1) Dry - vorticity forced*

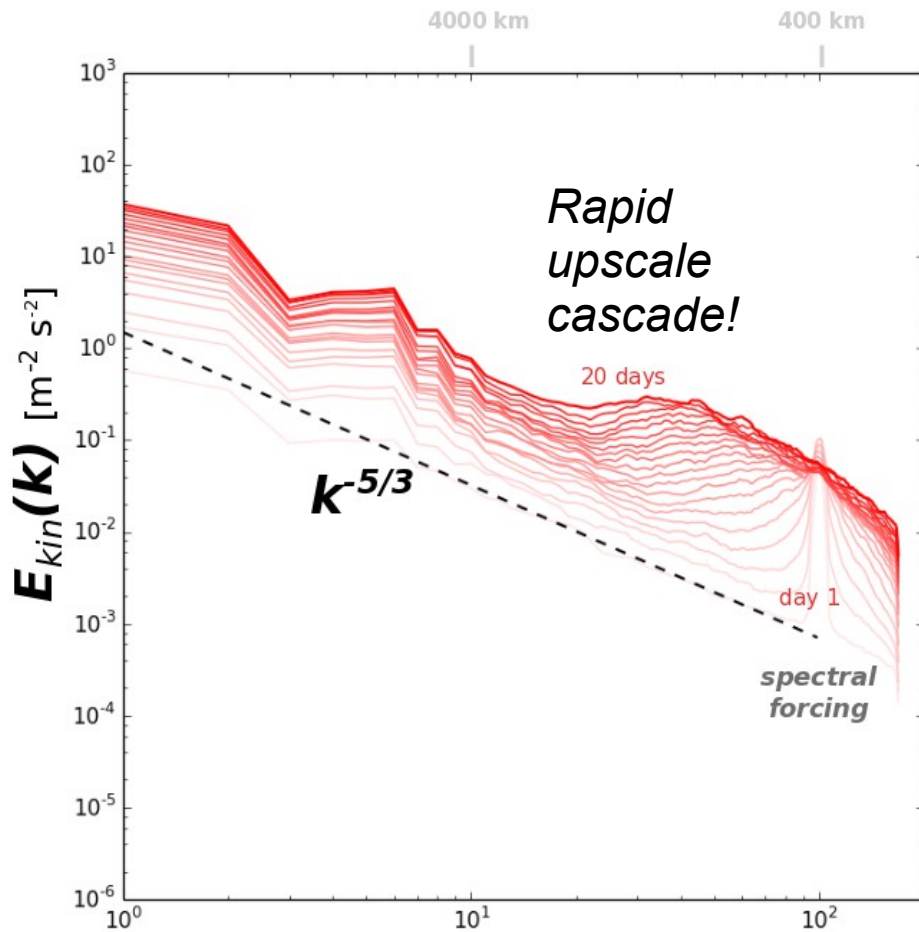


*No upscale cascade beyond forcing magnitude in divergence forced dry flow.*

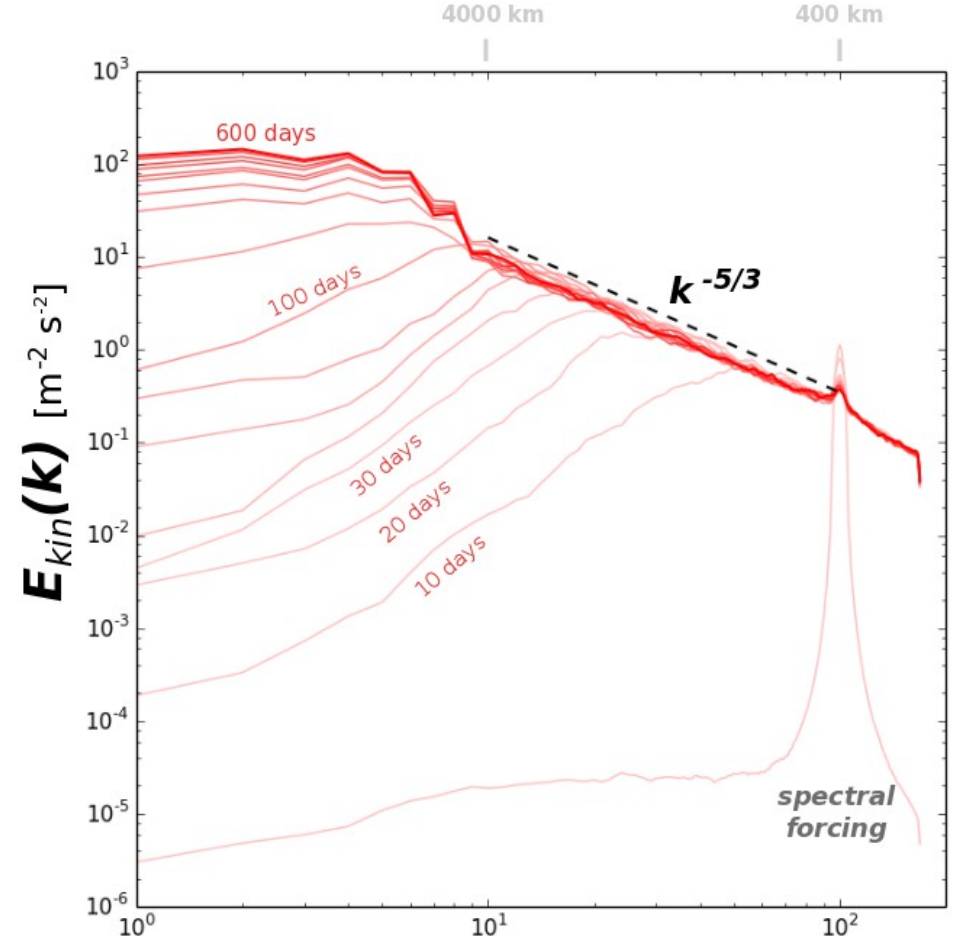
# II) Spectral Kinetic Energy Evolving in Time

*Exp. 3) Moist - moisture forced*

*Exp. 1) Dry - vorticity forced*



**Total Wavenumber  $k$**



**Total Wavenumber  $k$**

***Rapid upscale cascade occurs in moisture forced moist flow.***

## II) Energy Evolution

Kinetic Energy
kinetic energy
momentum flux

$$\partial_t E_{kin} = -\mathbf{v} \cdot \nabla \phi - u^2 \partial_x u - v^2 \partial_y v - uv (\partial_x v + \partial_y u) \quad [\text{m}^2 \text{s}^{-2} / \text{s}]$$

↑
↑
↑
↑

advection of potential energy
zonal shear
meridional shear
strain rate

Geopotential

$$\phi = gh = g \int dt (\partial_t h) = g \int dt \left( -\nabla \cdot (h \mathbf{v}) - \overbrace{L[q - q_s]^+ / \tau_q}^{\text{time delayed}} \right)$$

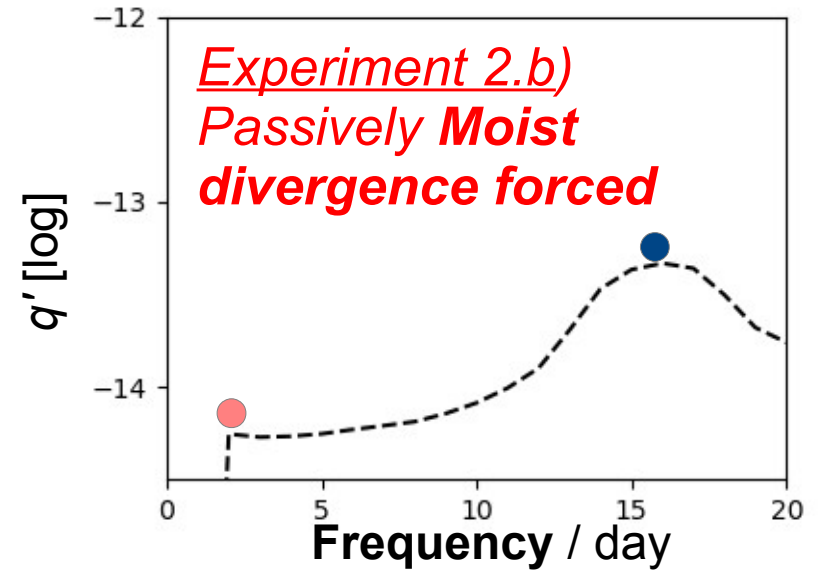
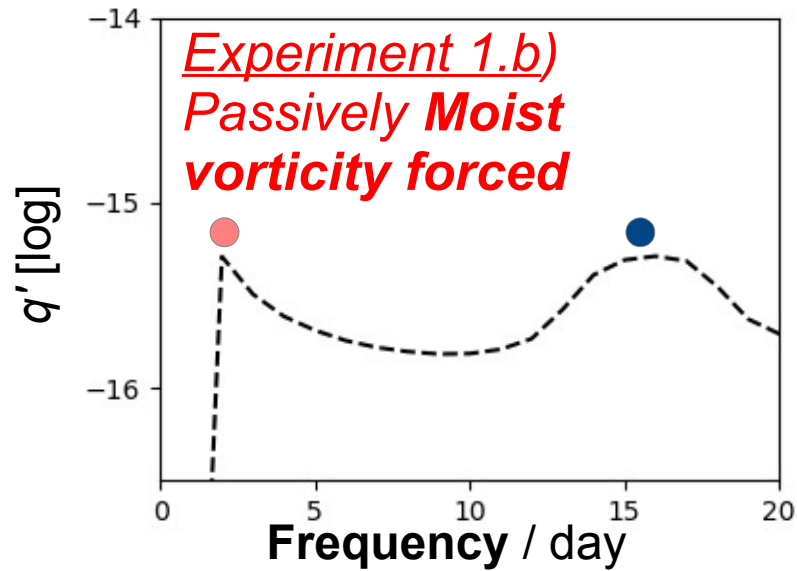
Moisture

$$q = \int dt (\partial_t q) = \int dt \left( -\nabla \cdot (q \mathbf{v}) - q^+ / \tau_q - q^- / \tau_e \right)$$

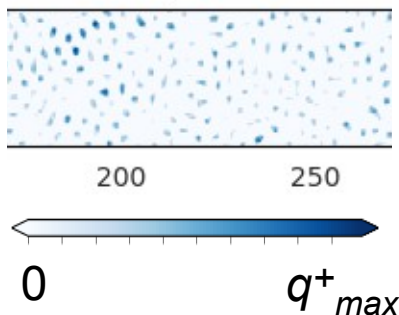
*time delayed*

*Production term  $\sim L q^+ / \tau_q$  in kinetic energy occurs in advection of geopotential. This effect is especially strong in regions of **low wind speed**.*

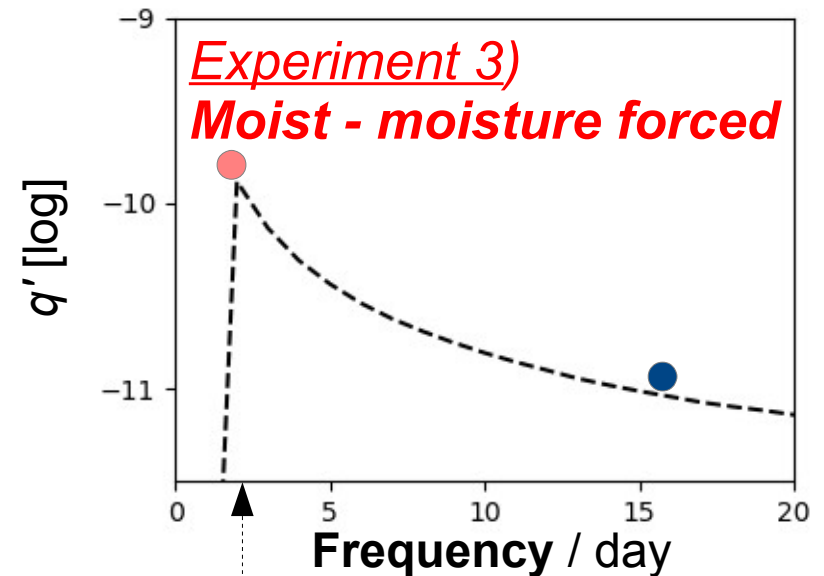
# II) High frequency FFT for moisture (*day 1*)



*Experiment 3*  
day 7,  $q^+$  snapshot



*The moist forced flow carries a strong low frequency ● signal on day 1.*

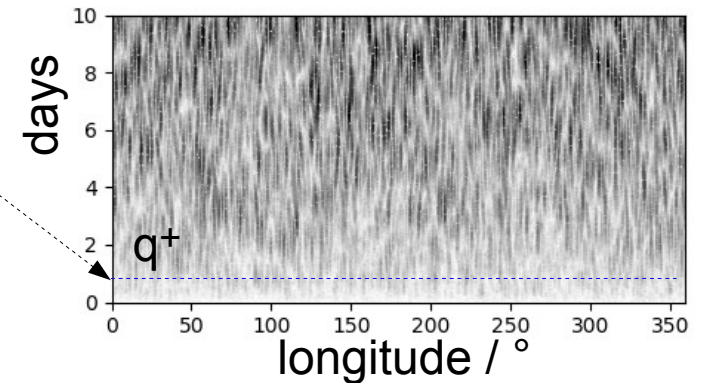
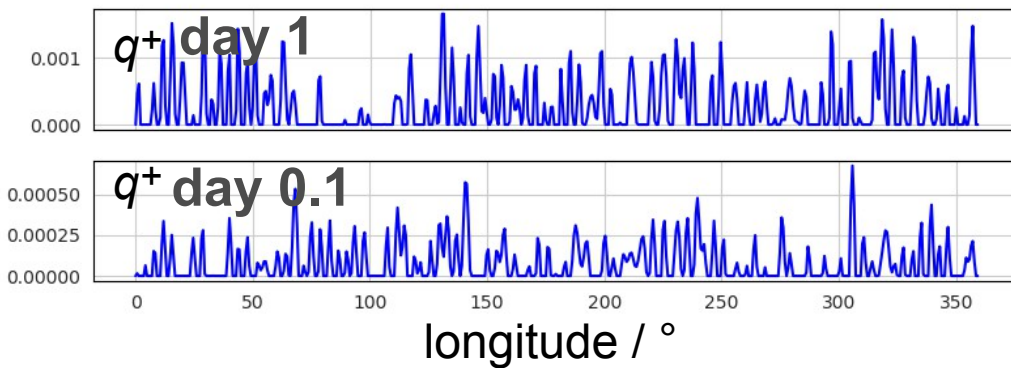


time period of 1/2 day

# II) Evolution of moisture in zonal direction

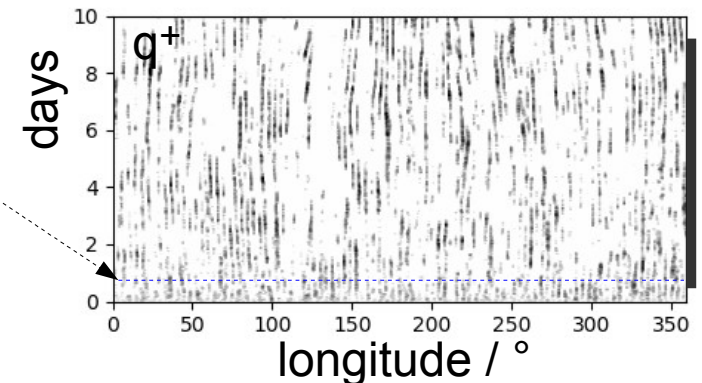
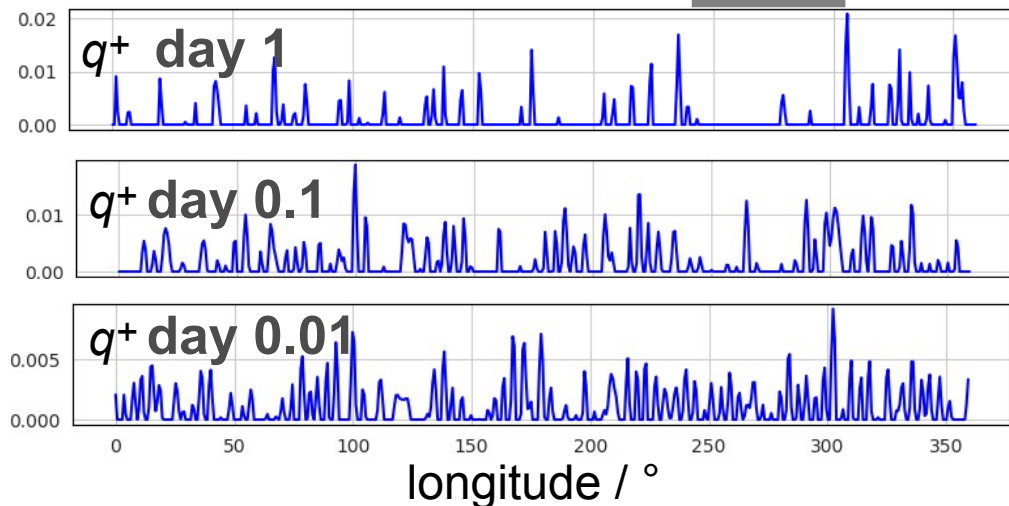
Zonal series of  $q^+$  at Equator,  $y=0$

**Experiment 2.b) Passively Moist - divergence forced**  $\tau_q, \tau_e = \infty$  days



**Experiment 3) Moist - moisture forced**  
 $\approx 7.000$  km

$\tau_q = 0.1$  day  $\tau_e = 10.0$  days

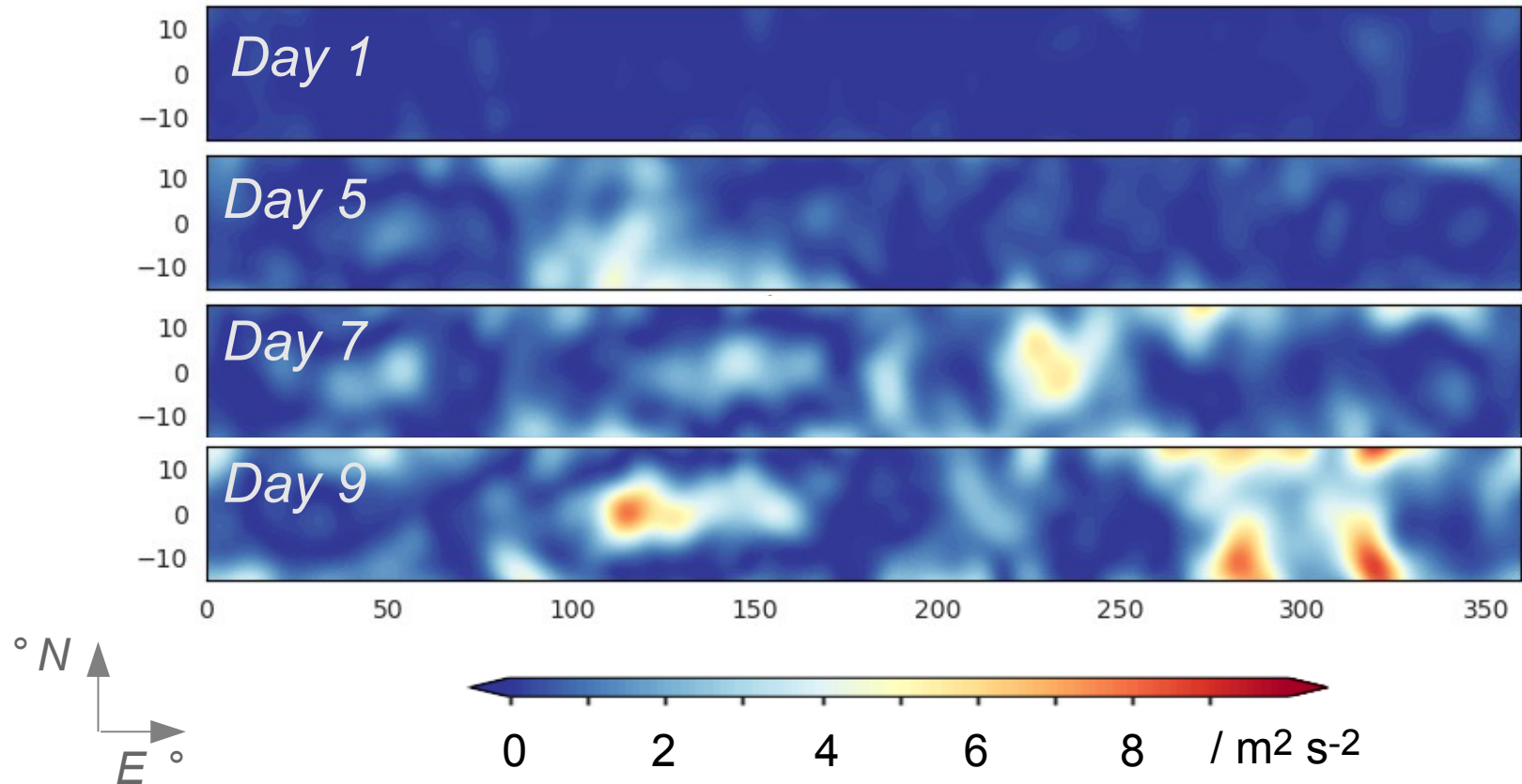


*Dry regions precondition & structure the moist flow at larger scales.*

# III) Evolution of kinetic energy cascade

## *Experiment 3) Moist - moisture forced*

Moisture Forcing  $E_{kin}$  snapshots (low pass filter  $k < 28$ )



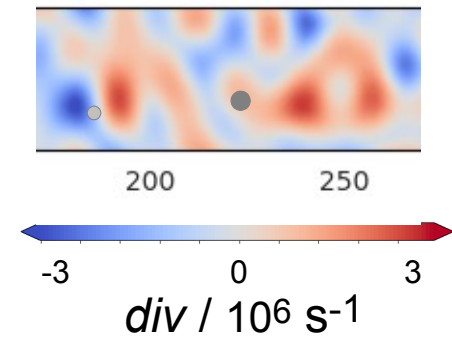
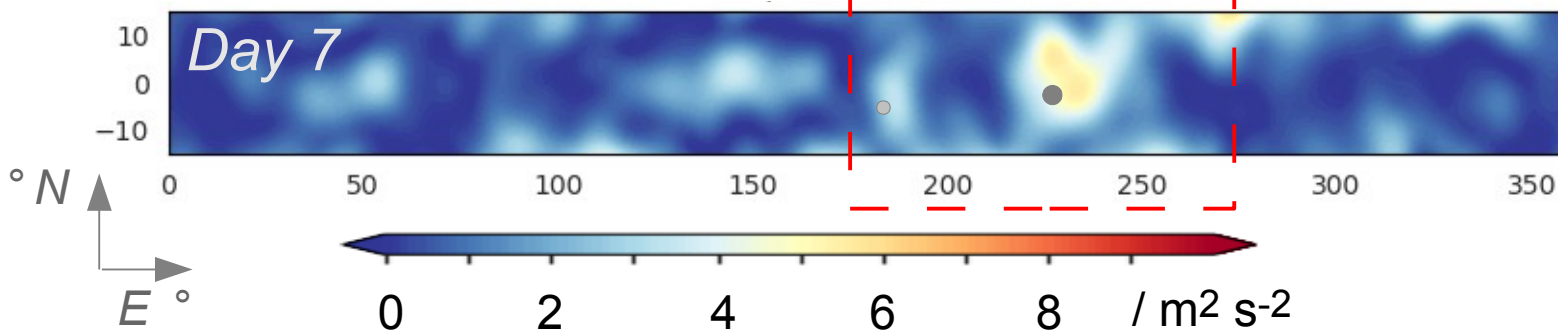
*Kinetic energy grows **upscale** rapidly.*

# III) Coherent Structures in Upscale Cascade

## *Experiment 3) Moist - moisture forced*

Moisture Forcing  $E_{kin}$  snapshots (low pass filter  $k < 28$ )

$div$  snapshot  
(low pass filter  $k < 28$ )

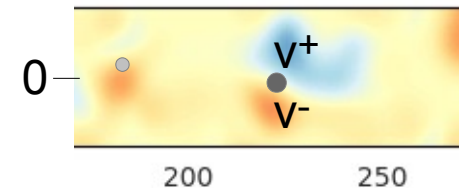
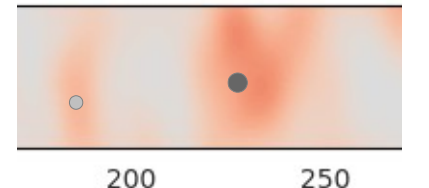
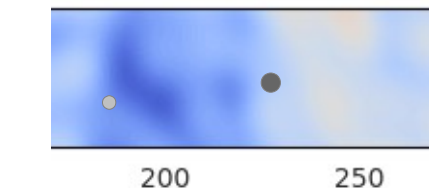
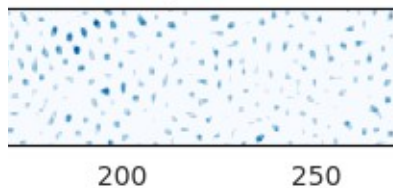


$q^+$  snapshot  
(all scales)

$h'$  snapshot  
(low pass filter  $k < 28$ )

$u$  snapshot  
(low pass filter  $k < 28$ )

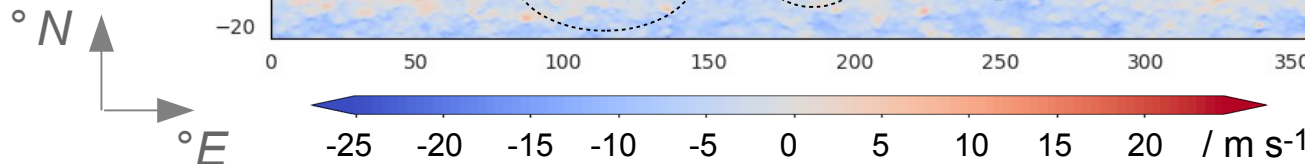
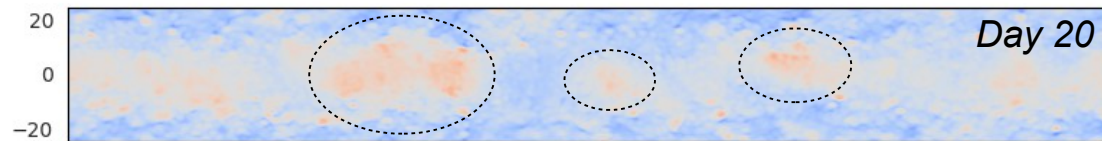
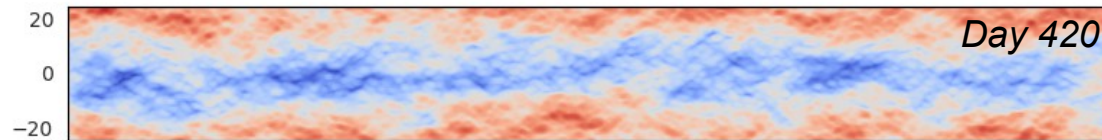
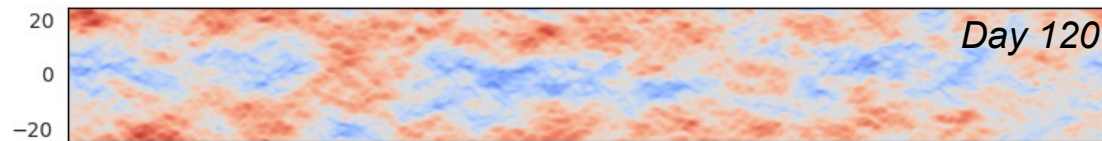
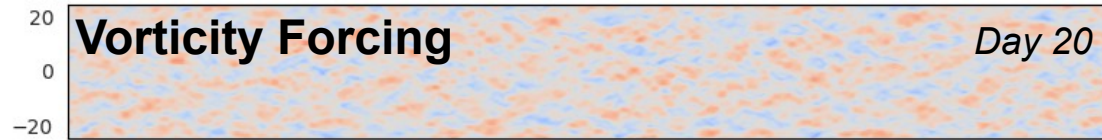
$u'v'$  snapshot  
(low pass filter  $k < 28$ )



*Dynamically active kinetic energy structures form, when  $q^+$  is forced randomly at much smaller scales.*

# III) Coherent Structures in Upscale Cascade

Zonal Velocity  $u$  snapshots (*all scales*)



Experiment 1)

**Dry - vorticity forced**

Experiment 3)

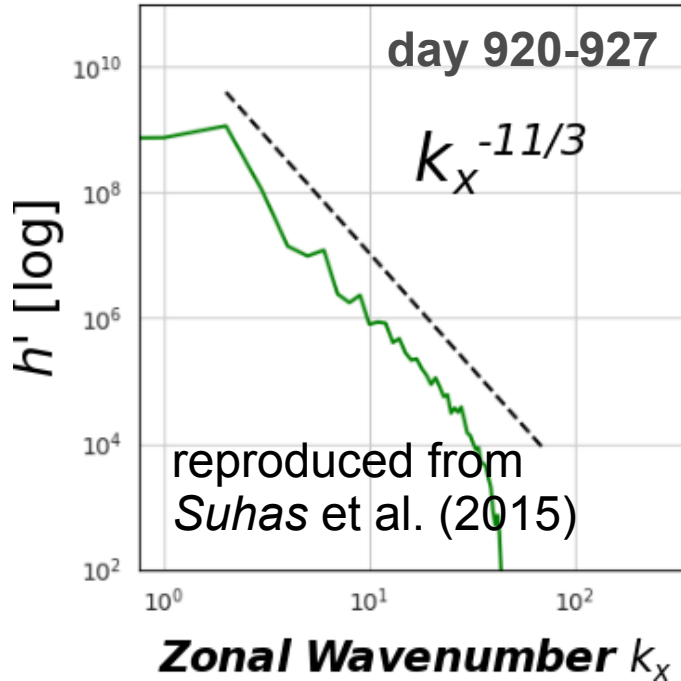
**Moist - moisture forced**

**Eastward** moving structures compare in scale and amplitude with simulations by *Yano et al. (1994)*

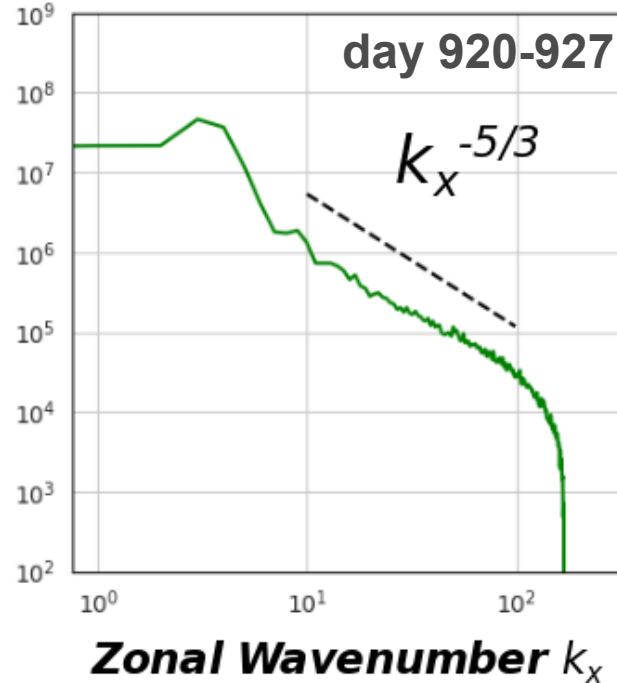


# II) Zonal spectra of potential energy

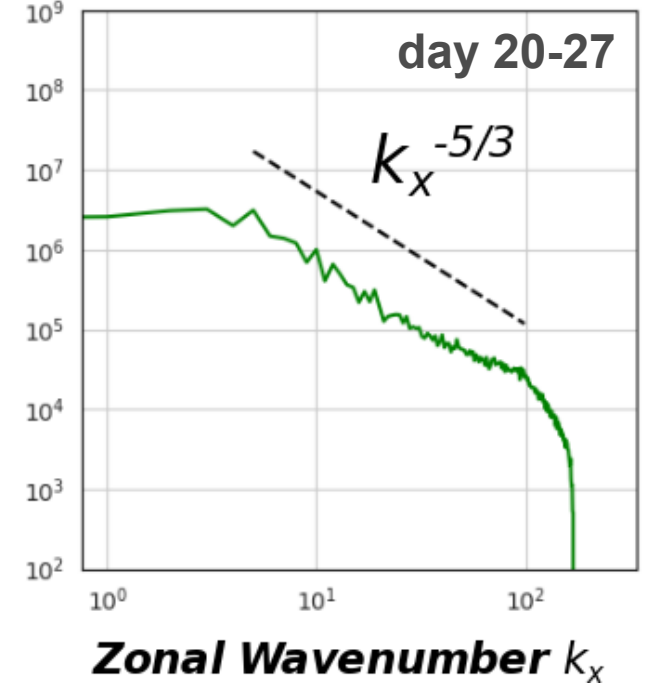
Experiment 1)  
**Dry - vorticity forced**  
**poles**, vorticity forced



Experiment 1)  
**Dry - vorticity forced**  
**tropics**, vorticity forced

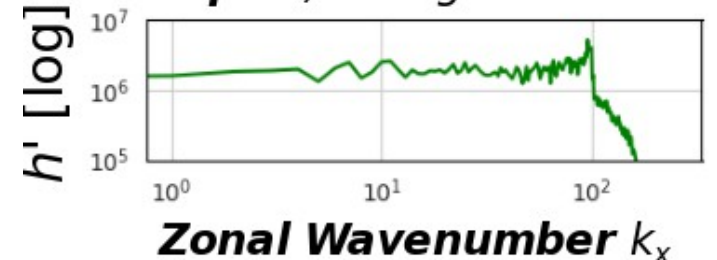


Experiment 3)  
**Moist - moisture forced**  
**tropics**, moist forced



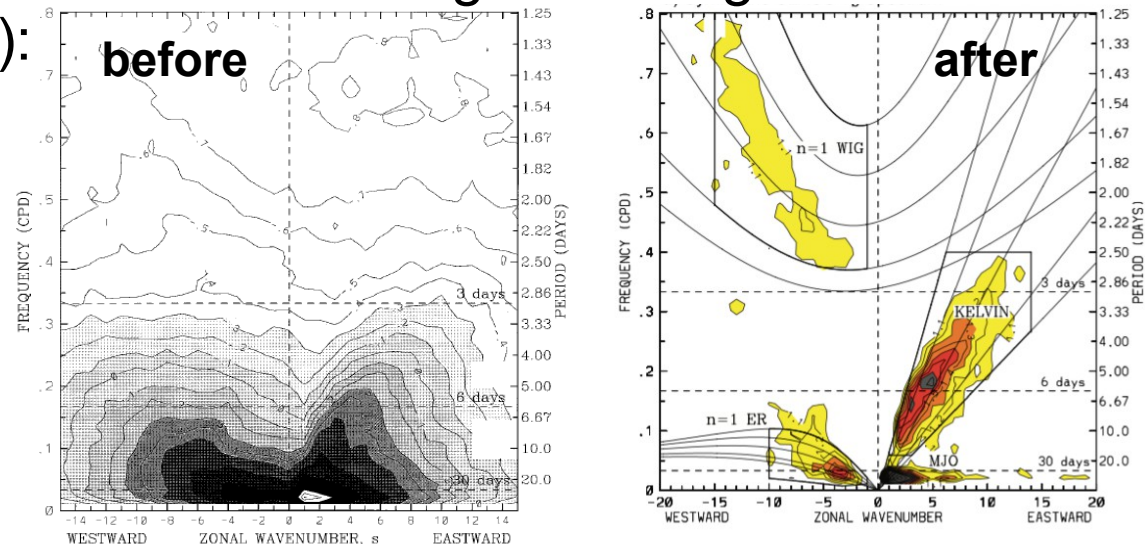
**Rapid upscale cascade of potential energy in moist forced flow.**

Experiment 2)  
**Dry - divergence forced**  
**tropics**, divergence forced



# Summary

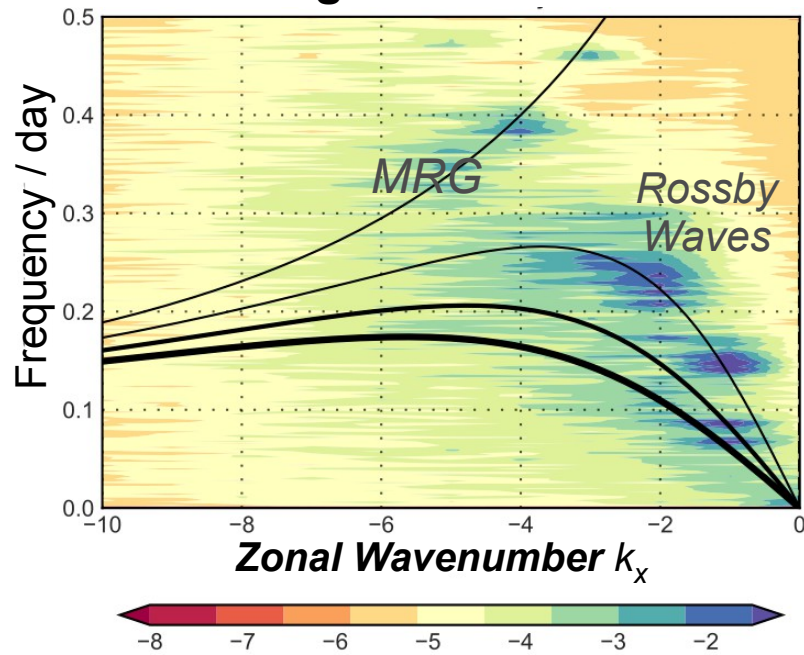
- We simulate a  $-5/3$  **upscale** cascade with **equatorial waves** (**consistent** with *Suhas et al. 2015, 2017*).
- Only **vorticity & moisture forcing** lead to **self-aggregation & upscale energy cascades**.
- Forcing moisture leads to **eastward propagating modes** & is possible out of previously dynamically passive low wind regions!
- Next step: Satellite observations exhibit more **continuous spectra & low-frequency** modes – before removing the background noise (*Wheeler & Kiladis, 1999*):



# IV) Space-Time Spectra in Shallow Water

## *Exp. 1) Dry - vorticity forced*

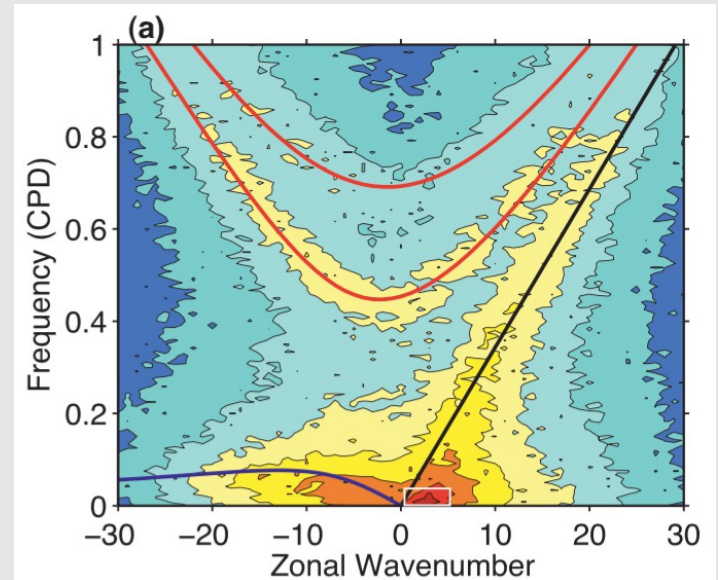
**Space-Time Spectrum  
of Height Perturbation**



- **Very discrete** in our shallow water experiments.
- **No low frequency modes!**

## Yang & Ingersoll (2013)

Yang & Ingersoll (2013) forced the flow in a **non-linear and non-conservative** way!

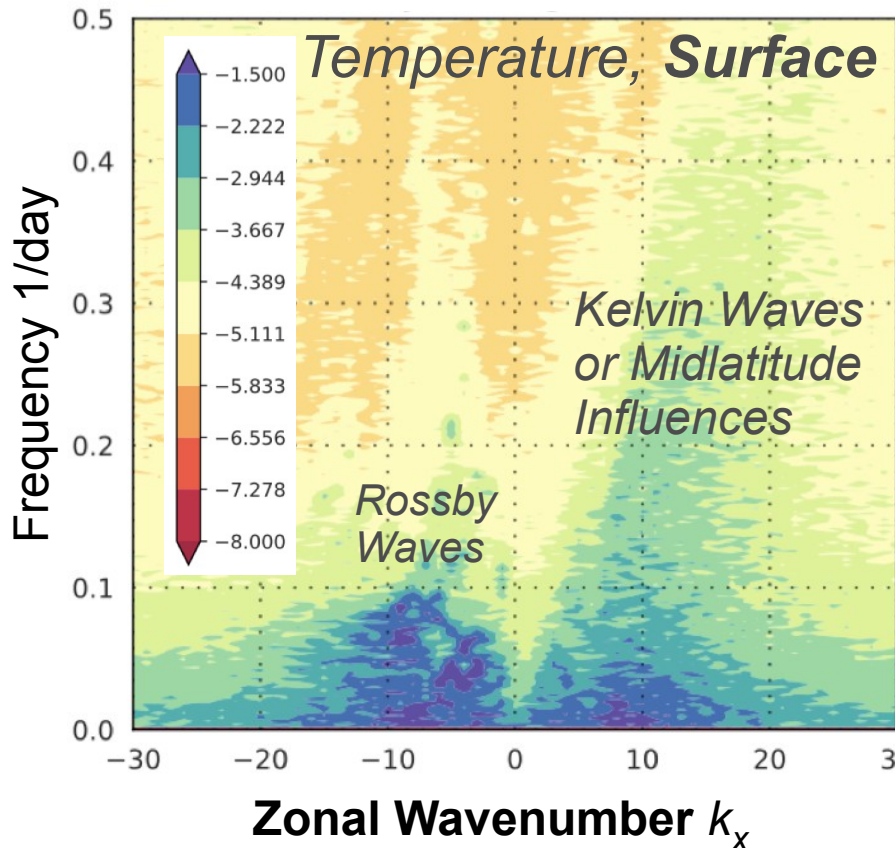
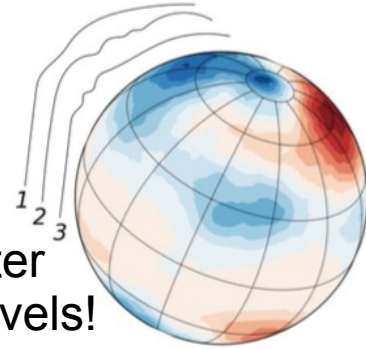


- **More continuous** spectrum.
- **Low frequency modes!**

# IV) Space-Time Spectra in 3-level PTM

## Experiment 4) Dry - vorticity forced

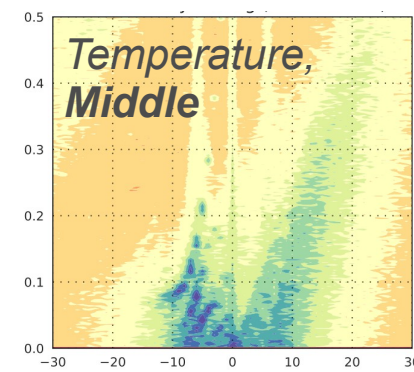
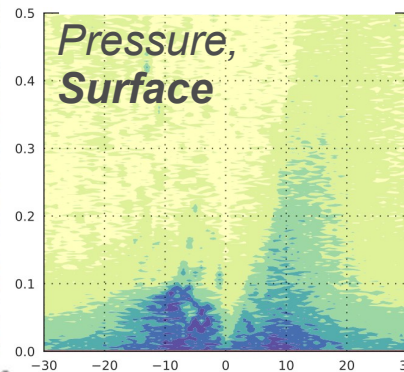
Relaxation to Held & Suarez Temperature  $T_{eq}(y)$  in 3-levels.



**A crucial difference** to shallow water is **convective coupling** between levels!

next step:

- **Add moisture** in 3-levels & vary physical parameterizations: convection scheme, radiation, boundary layer.



In 3-level flow we get **smoother** distributions with **low-frequency modes** (as in non-conservative forced experiments by *Yang & Ingersoll*).

# Thank you for your attention!



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